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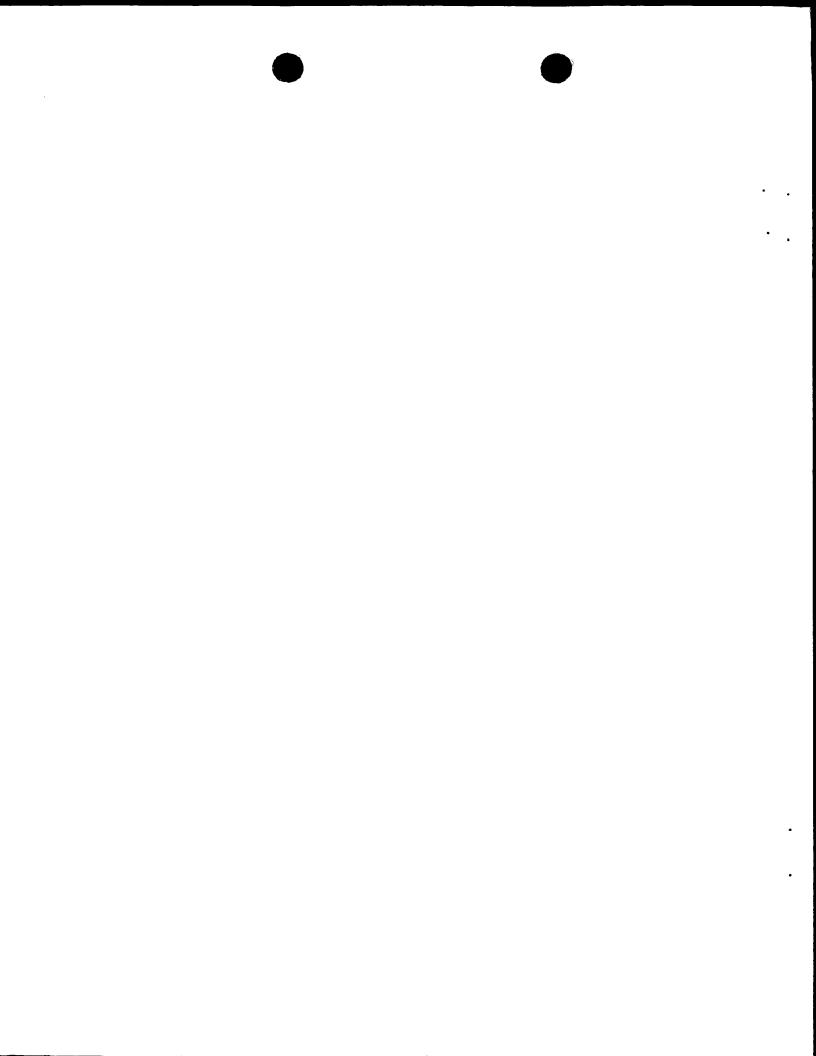
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Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: POLYMERS OF A HYDROPHOBIC NATURE, FILLED WITH STARCH COMPLEXES

#### (57) Abstract

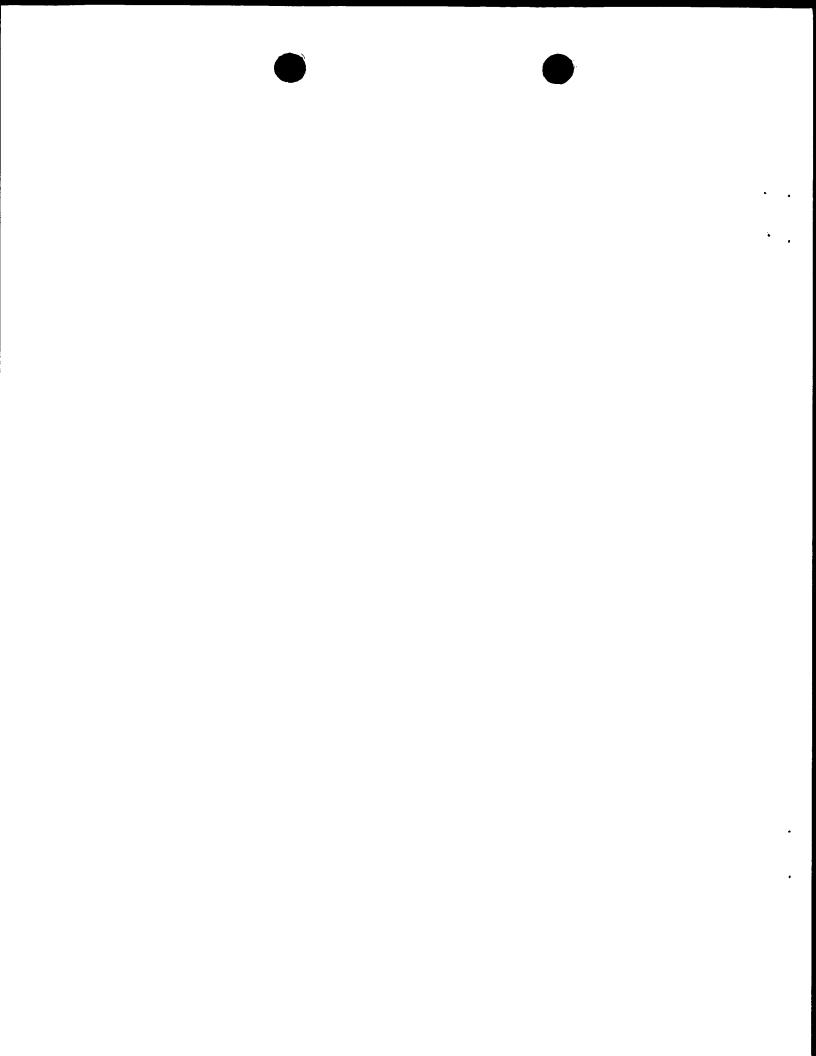
Hydrophobic polymers incompatible with starch containing, as a filler, a starch complex dispersed in the polymer matrix in the form of particles with numeric mean dimension of less than 3 microns, bound to the polymer matrix by coupling agents containing groups compatible with the matrix and with the complex, in which the starch complex is characterized by second-derivative IR absorption in the region of 940-952 cm<sup>-1</sup> are described or wherein the starch complex is bound to the polymeric matrix through reactive groups contained in the complex capable of being fixed to the polymeric matrix. The starch complex in the case of biodegradable polymers such as the aliphatic or aliphatic aromatic polyesters is formed with complexing agents different from the polymer forming the matrix and from EVOH copolymers.



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A. CLASSIFICATION OF SUBJECT MATTER
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C08L71/12

C08L69/00

C08L23/02 C08K5/548 C08L67/00

CO8L59/00

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#### B. FIELDS SEARCHED

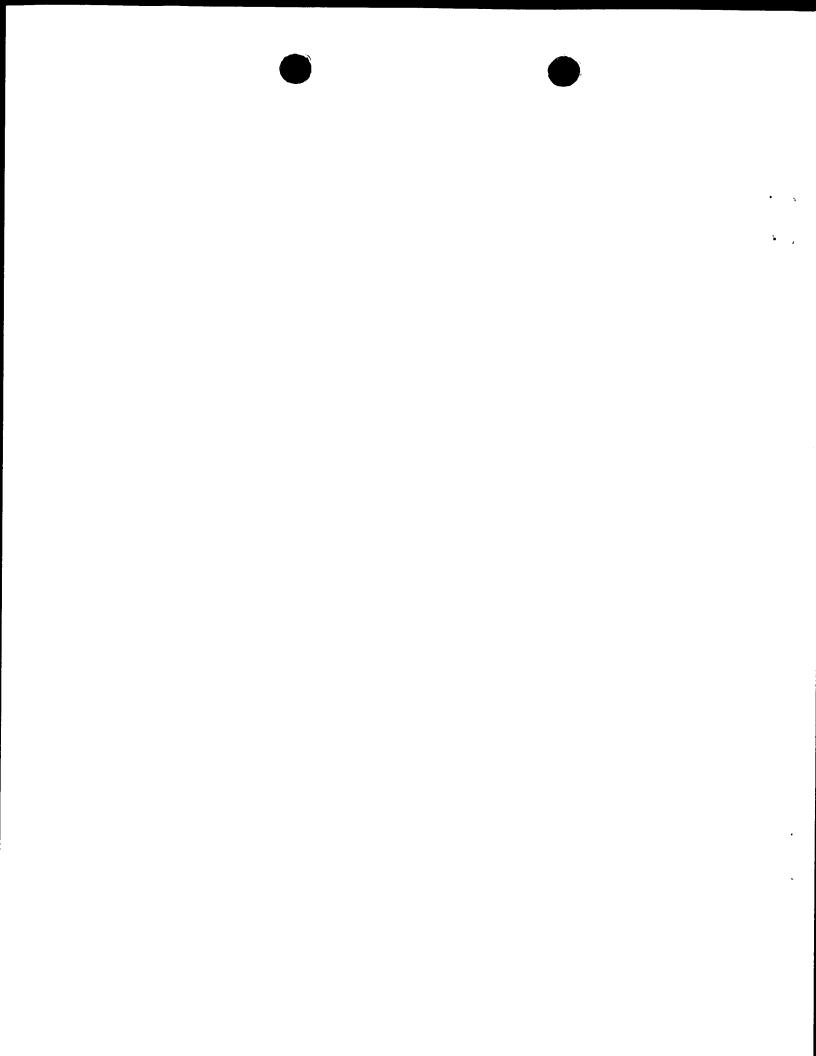
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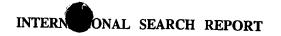
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Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

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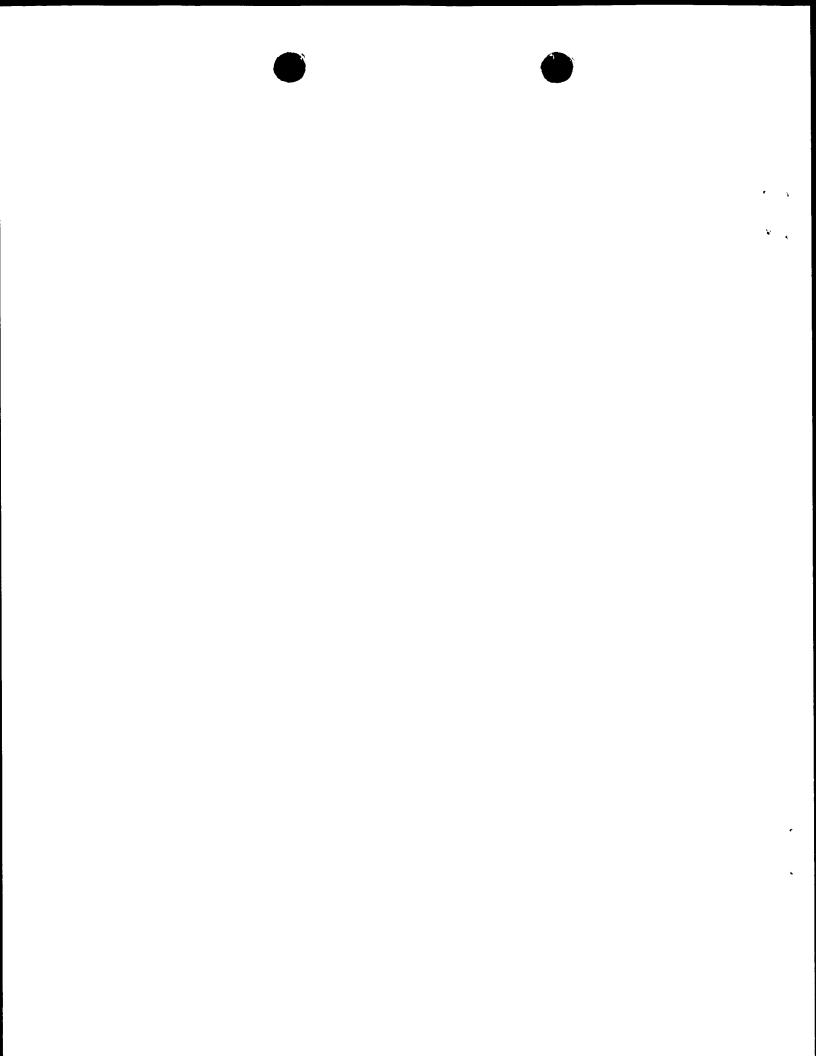
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Category	ation) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	
zaregory	ondition of document, with indication, where appropriate, or the relevant passages	Relevant to claim No.
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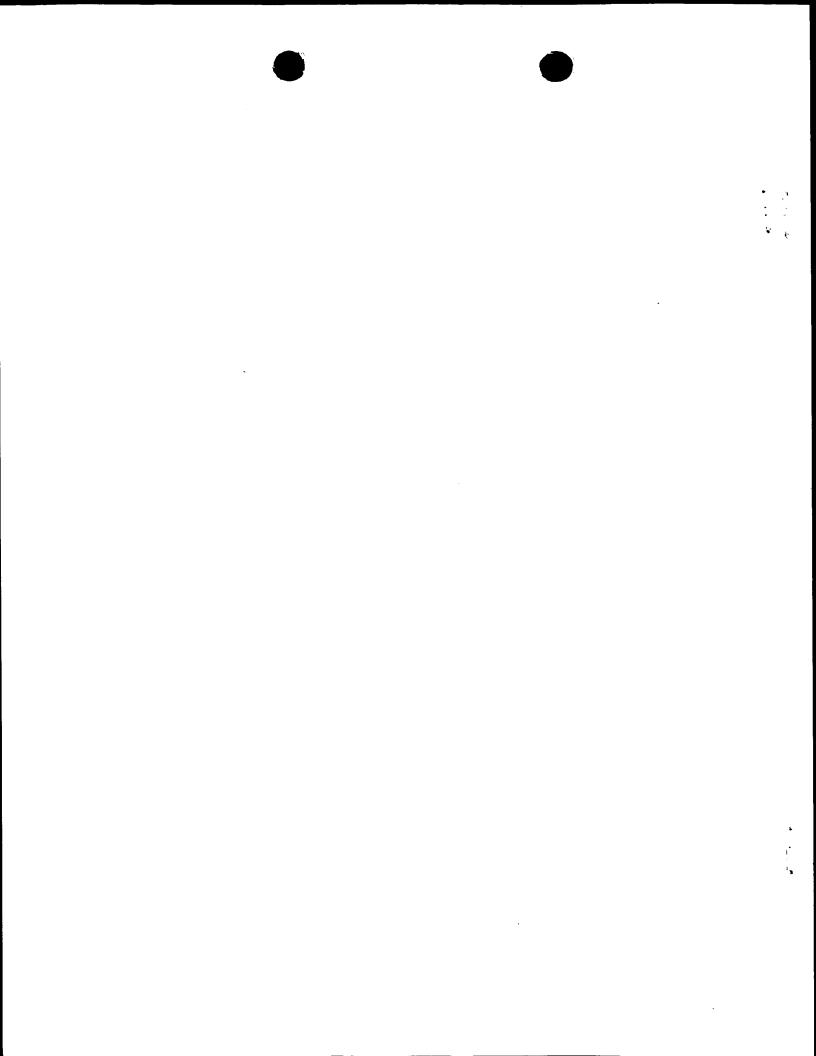


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Polymers of a hydrophobic nature, filled with starch complexes

The present invention relates to polymers of a hydrophobic nature incompatible with starch comprising, as a filler, a starch complex in the form of particles of very small dimensions.

It is known from the literature (WO 92/14782, Bastioli et al. J. of Environmental Pol. Degradation - No. 1, Vol. 3, 181-191, 1993) that starch is present in products produced by the extrusion of mixtures of starch with copolymers of ethylene with vinyl alcohol, in the form of a complex forming an interpenetrated structure with the ethylene copolymer. Upon TEM (Transmission Electron Microscope) examination, the structure shows the presence of phases with sub-micronic dimensions mixed with no sharp separating boundaries.

As a result of treatment in water at 100°C with vigorous stirring, the interpenetrated structure breaks up, forming a micro-dispersion of micro-spherical aggregates with particles of diameter of less than 1 micron, or forming a layered structure by which the starch is rendered partially soluble.

The droplet-like structure has been observed with the use of an EVOH copolymer containing 60% of vinyl alcohol, in moles; the layered structure, on the other hand, has been produced with a copolymer containing 80% of vinyl alcohol, in moles.

There is a great need to be able to disperse starch in polymers incompatible with starch, in the form of a complex which has poor solubility in water, with very small dimensions of the dispersed particles, in view of the improved and novel properties which this filler could introduce.

Up to now, starch has been dispersed in hydrophobic polymers such as polyethylene in the non-destructured, crystalline form.

Destructured starch has been used as a filler in rubbers (USP 5,374,671 and 5,545,680). However, the dimensions of the dispersed particles are not small enough because of difficulties in dispersing the starch finely in an incompatible polymer matrix such as rubber. The starch is in fact dispersed in the form of filament-like particles.

In the United States patents cited above, the possibility of using destructured starch in compositions containing thermoplastic polymers is also pointed out. However, the compositions referred to in the patents are not suitable for the formation of micro-dispersions, both because the method of preparing the compositions is not suitable for the formation of the right micro-structures, and owing to the fact that excessively hydrophilic copolymers unsuitable for forming micro-structures are used. In the case of the EVOH copolymer, the vinyl alcohol content is 73% in moles.

In the patents cited above, reference is also made to the possibility of using a grafting agent which, however, is

not identified further, and which can act as a compatibilizing agent between starch and rubber.

It has now unexpectedly been found that it is possible to disperse, in hydrophobic polymers incompatible with starch, starch complexes characterized by second-derivative FTIR 940-950 cm<sup>-1</sup> or by XR in the region of absorption diffraction peaks in the regions of 11°-13° and 19°-21° of 20, in the form of particles with poor solubility in water and having numeral average size of less than 3 microns, preferably less than 1 micron, and which are fixed to the polymer matrix by means of (a) coupling agent(s) containing groups which can interact with the polymer matrix and with the complex (external coupling agent) or by means of reactive groups present in the complex capable of being fixed to the polymeric matrix thus acting as internal coupling agent. In this case, the use of the external coupling agent can be omitted.

This is, for example, the case of matrices comprising a biodegradable polymer such as the aliphatic or aliphatic-aromatic polyesters, the aliphatic polyamides, polyamides-polyesters, polyurethane-polyesters and the like.

As it will be specified hereinafter, the complex usable with the biodegradable matrices of the type above indicated, is a complex of starch with a polymer different from the polymer forming the polymeric matrix and from the ethylene-vinylalcohol copolymers, or with other complexing agents.

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The complex is generally substantially insoluble in water at 100°C.

The solubility is generally less than 20% by weight.

Amylose is present in the complex in wholly or largely complexed form, whereas the amylopectin can be hydrolyzed with acids. It is considered, but this is not binding, that the amylopectin macro-molecules are connected at various points of the chain by hydrogen bonds and by entanglements with the molecules of amylose complexed with the synthetic polymer or other complexing agents. Since the dimensions of the micro-particles of the complex are of the order of those expected for the amylopectin molecules, these molecules can be considered as forming a nucleus surrounded by a shell formed by the amylose/synthetic polymer complex or other complexing agent.

The shell, by interacting with hydrogen bridges or by entanglements with the nucleus, acts as a screen to the solvation of the amylopectin.

The starch compositions used for the dispersion indicated above are constituted by or comprise starch complexes showing the above specified FTIR band or XR diffraction, peaks from which micro-dispersions of particles with numeral average diameter of less than 1 micron are formed by treatment with boiling in water with vigorous stirring.

The starch usable for the formation of the complexes usable as fillers contains more than 15% by weight, and preferably more than 20% by weight, of amylose; amylopectin is

present in quantities up to 85% by weight and preferably up to 80%.

The starch may originate from tubers, cereals or beans and may be maize, potato, tapioca, pea, or rice starch, etc. It is preferably starch with an amylose content greater than 20% by weight.

Starches with an amylopectin content greater than 85% by weight are not suitable since the amylopectin does not form complexes with the polymers which complex with amylose; a large quantity of the starch is solubilized by treatment by boiling in water.

The compositions mentioned above are prepared by extrusion of the starch in mixture with the complexing thermoplastic polymer and, optionally, with a plasticizer under temperature and shear-force conditions such as to render the components of the mixture rheologically compatible or with a complexing agent. Suitable preparation methods are described, for example, in WO 92/14782, which is incorporated by way of reference. It is also possible to use methods in solution, using common solvents for starch and the complexing agent.

The compositions preferably have a water content at the output of the extruder, before conditioning, of less than 20% by weight and preferably less than 10% by weight, but preferably not lower than 2% and preferably not lower than 4%. A preferred water content as referred to starch plus water in the initial formulation is higher than 5% and

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lower than 30%. The Tg of the compositions is preferably below  $0^{\circ}\text{C}$ .

The formation of micro-dispersions by boiling in water with stirring and optionally ultrasonication can constitute a criterion for the selection of the operating conditions suitable for the formation of the compositions usable in the method of the invention.

The polymer compatible with starch contains hydrophilic groups intercalated with hydrophobic sequences in which the hydrophilicity properties are balanced in a manner such that the resulting extruded compositions can provide a partial or complete insolubilization of the starch by treatment in boiling water.

For example, in the case of copolymers of ethylene with vinyl alcohol, the vinyl alcohol content, which is preferably greater than 50% in moles, has not to exceed 80-90% in moles, otherwise, by boiling in water, the formation of layers instead of micro-dispersions occurs and starch becomes soluble.

Other suitable copolymers are copolymers of ethylene with acrylic acid, preferably containing from 15 to 25% by weight of acrylic acid.

In general, all copolymers of ethylene with polar monomers such as copolymers with methacrylic, crotonic and itaconic, acids, maleic anhydride and terpolymers containing vinyl acetate are suitable.

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Other polymers which can form complexes with starch are 6-6, 6-9 or 12 aliphatic polyamides, aliphatic and aliphaticpolyurethanes/polyamides, polyesters, aromatic polyamides/polyesters, polyurethanes/polyethers, polyurea/polyesters, polyurea/polyethers, polylactic acid, poly(lactic-glycolic) acid, polyglycolic size which the polycaprolactone/urethane, in polycaprolactone block is between 300 and 3000 molecular weight.

Other complexing agents can be fatty acids and their derivatives. The complexing agents can contain reactive groups for the hydrophobic matrix thus performing the function of internal coupling agents, such as tetrasulphide or unsaturated groups in case of rubbery matrices.

In the case of ethylene/vinyl alcohol copolymers containing from 20 to 50% of ethylene, in moles, the quantity of copolymer which can complex all of the available amylose is about 20% by weight of the composition.

The more the concentration of the EVOH is reduced, the greater is the relative quantity of amylose complexed, this quantity changing from twice the quantity of EVOH when the concentration of EVOH is 10%, to 3 times when it is reduced to 5%.

This shows that complexing between amylose and EVOH does not form a complex of well-defined composition, but forms a family of complexes.

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The preferred formulations for the starch complexes comprise a content by weight of starch between 45 and 65%, with more than 20% of amylose; a complexing agent between 5 and 35%; plasticizers from 0 to 20% and added water from 0 to 15%. Such formulations minimize the size of the dispersed phase.

The dispersion of the composition comprising the starch complex in the hydrophobic polymer is performed by blending in accordance with known methods, for example, by extrusion or calendering in a Banbury mixer in the case of rubbers.

The preferred complexed starch for rubbery compositions is dispersable in the rubber by mixing in a range of temperature between 130 and 170 °C, preferably between 140 and 160 °C.

It is possible to operate in the presence of a coupling agent. When the complex contains groups which can be fixed to the polymer matrix of the starch, the use of the coupling agent can be omitted.

Suitable coupling agents which can react with the filler and with the polymer matrix when the matrix is of polyolefin nature or is a styrene-butadiene, polybutadiene, elastomeric, rubber, an nitrile polyisoprene or ethylene/propylene or ethylene/propylene diene copolymer such as dimethyldichlorosilane, aliphatic silanes mercaptopropyltrimethoxysilane methyltrichlorosilane, vinyl silanes such as methacryl-oxy-propyltrimethoxysilane and vinyltriethoxysilane.

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A particularly suitable silane is bis-3-triethoxysilylpropyl tetrasulphide.

Other coupling agents which may be used are alkyl titanates or esters such as tetraisooctyl titanate, isopropyldiisostearyl-metacryl titanate, and isopropyltriacryl titanate.

The quantity of coupling agent is between 0.05 and 10% by weight of the starch complex, preferably 0.1-5% by weight.

The coupling agent is preferably added to the starch complex/hydrophobic polymer mixture at the stage of the blending thereof.

The hydrophobic polymer comprises, among others, ethylene polymers such as LDPE, LLDPE, HDPE, ultra low LLDPE, crystalline propylene polymers and copolymers, in particular, isotactic polypropylene, and crystalline propylene copolymers containing 1-10% by weight of ethylene or of a  $C_4-C_{10}$  alpha olefin.

Other thermoplastic hydrophobic polymers which may be used comprise polyamides, aromatic polyester resins, polyoxymethylene resins, polycarbonates, polyphenylene oxide resins. The rubbers used in the tire industry, such as styrene-butadiene rubbers, polybutadiene or polyisoprene rubbers, or the EP and EPDM rubbers may also be used.

The rubbers may contain, as fillers, the ingredients such as, for example, silica, carbon black and the vulcanizing agents and vulcanization accelerators which are normally

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used in this field. The rubber matrices containing the starch complexes according to the present invention are conveniently used in the preparation of tyres having valuable properties.

Similarly, the thermoplastic polymers may contain additives such as colourings, stabilizers, and flame-retardant compounds normally used in the field.

The fillers having the characteristics specified above confer to the polymer matrices properties of better coatability, particularly in the case of olefin polymers, better electrical and heat dissipation, a better elastic flow and low hysteresis (particularly in the case of rubbers), and other advantageous properties which vary from one polymer to another.

also usable. hydrophobic polymers are Biodegradable aliphatic, polyesters, Examples of such polymers are: aliphatic-aromatic copolyesters, polvamides, aliphatic polyurea-polyesters, polyurethanepolyamides-polyesters polyesters poliurethane-polyamide. Specific examples are poly-epsilon-caprolactone and poly(butylene terepthalatebutylene adipate).

In the case of the above mentioned biodegradable polymers it has been found advantageous to add to the polymeric matrix a starch complex wherein the complexing agent is a polymer different from the polymer forming the matrix and the ethylene-vinylalcohol copolymers, or selected from the fatty acids and the derivates thereof or from other complexing agents.

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It is possible using this type of complex to tailor-make the properties of starch to render the same similar to those of the matrix or different.

For example in the case of the compostable bags, it has been found useful to retard the biodegradability of the starch complex dispersed in the aliphatic or aliphatic-aromatic polyester matrix by complexing the starch with a slowly or not at all biodegradable polymer to avoid the premature degradation of the bag when the same, filled with waste, is stored in humid places and/or contact with condense.

The premature bag breakage with consequent waste spreading is troublesome and represents a limit to the use of the compostable bags for the collection of organic waste.

The polymers used to retard the biodegradability of starch comprise polylactic acid, polyglycolic acid and poly(lactic-glycolic) acid copolymers.

Examples of not significantly biodegradable polymers are the ethylene-vinylacetate copolymers, the ethylene-acrylic acid copolymers, and in general, the ethylene copolymers containing polar groups different from the OH groups.

In the case of complexing agents immiscible with the polyester base-matrix, it is possible to form the complex with the starch directly during the melt-blending of the polyester-starch mixture.

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The melt-blending is carried out under temperature and shear conditions suitable to render the starch and the polyester polymeric components rheologically compatible.

As already mentioned, the dispersion of the starch complex in a matrix formed or comprising a biodegradable polymer such as the aliphatic or the aliphatic-aromatic polyesters does not require the use of an external coupling agent.

The filler formed by the starch complex is dispersed in the hydrophobic polymer in quantities of from 0.5 to 50% by weight. The most suitable quantity depends on the type of polymer and on the properties to be imparted thereto. In general, quantities of from 2 to 30% by weight may advantageously be used.

For the test with boiling water, the material is ground in a cryogenic mill and reduced to powder which can pass through 0.5 mm mesh.

The powder is introduced into a reflux flask containing a volume of water 10 times the weight of the powder and is heated to boiling point for 4 hours with vigorous stirring and ultrasonication, if needed.

The following examples are provided by way of non-limiting illustration of the invention.

In the following examples, the quantities of the components are expressed as percentages by weight unless specifically indicated otherwise.

#### EXAMPLE 1

A mixture was prepared, containing:

- 40% Cerestar Globe 03401 starch (12.8% water)
- 40% Nippon Gohsei A-4412 EVOH (EVOH with 44% ethylene by moles)
- 12% glycerol
- 3% water
- 5% urea.

The mixture was supplied to an OMC single-screw extruder with D=20 mm and L/D=30, operating with the following temperature profile: 80/150/140/120°C and about 40 rpm..

The extruded material with about  $6.5\%~H_2O$  was pelletized and then filmed by blow extrusion with a Haake extruder with D=10 mm and L/D=20 to give a film about 30 microns thick.

The film produced was ground in a cryogenic mill and reduced to powder which could pass through 0.5 mm mesh. About one gram of powder was then poured into a flask containing 100 ml of distilled water and the mixture was brought to boiling point with vigorous stirring for 4 hours. Upon completion, the insoluble residue, which was about 75% of the initial quantity, which amount corresponded to the sum of starch and EVOH, was filtered out.

The boiling residue, examined by TEM (Transmission Electron Microscope), was constituted by individual particles or by

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aggregates of particles in which the individual particles  $\cdot$  had diameters of less than 0.5 microns.

The film, examined by second derivative IR had a band of the starch/EVOH complex at 947 cm-1 and two peaks in the XR diffraction spectrum at 13° and 20° of  $2\theta$ .

#### EXAMPLES 2-4

The following compositions, in which maize starch containing about 28% of amylose was used, were prepared as described in Example 1:

	2	3	4
	용	ફ	용
starch	50	58	65
EVOH	30	- 20	10
	7	8	9
	4	4	4
	9	10	12
		% starch 50 EVOH 30 7	% % starch 50 58 EVOH 30 20 7 8 4 4

(EVOH E-3808 with 38% ethylene by moles)

Upon application of the breakdown upon boiling test, amounts of insoluble residues approximately equal to the sum of starch and EVOH were obtained. The dimension of the separated particles was less than 0.5 microns.

All of the films, when examined by second-derivative IR, had a band of the complex at  $947~{\rm cm}^{-1}$  and when examined by XR showed two peaks at about 13° and 20° of 20.

#### EXAMPLES 5-16

The following compositions, in which a starch with a high amylose content (Roquette Eurylon 7, 70% amylose) was used, were prepared as described in Example 1:

Example	5	6	7	8	9	10	11	12	13	14	15	16
Eurylon 7	52	60	66	70	52	60	66	70	51	60	66	70
D-2908 EVOH	31	20	10	5	-	_	-	-	-	-	_	-
E-3808 EVOH	-	-	-	-	31	20	10	5	_	_	-	-
A-4412 EVOH	_	-	-	-	-	-	-	-	31	20	10	5
Glycerol	7	10	12	12	7	10	12	12	7	10	12	12
Water	10	10	13	13	10	10	12	13	10	10	12	13

Upon application of the breakdown in boiling water test, amounts of insoluble residues equal to the sum of starch and EVOH were obtained, even with the lowest concentrations of EVOH. The size of the separated particles was less than 1 micron, in all the cases but for the concentration of Eurylon between 52 and 60% the particles were by far lower than 0.5 microns.

All of the films, when examined by second-derivative IR, had a band of the complex at  $947~{\rm cm}^{-1}$ .

#### EXAMPLE 17

Products obtained according to examples 2,3 and 4 brought to complexed starch with a water content between 6 and 10% which was mixed in a Pomini Farrel mixer at 155C at a content of 20% by weight, with 76% of an SB standard grade for treads and 4% of bis-3-triethoxysilyl propyl tetrasulphide. The final products after etching in DMSO

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showed a microdispersion of complexed starch with average dimension lower than 0.5 microns under TEM analysis.

## COMPARISON EXAMPLE 1

A composition similar to that of Example 5 but with the maize starch replaced by amylose-free waxy starch (Snowflake 04201 - Cerestar) was prepared.

Filming of the composition and its subjection to the breakdown in boiling water test did not produce a dispersion of micrometric particles, but a quantity polymer lumps equal to the quantity of EVOH present in the molecule.

A portion of the film examined by TEM showed a microlayered structure.

#### COMPARISON EXAMPLE 2

Comparison Example 1 was repeated but with 7.1 parts of waxy starch replaced by Eurylon 7 starch so that the final mixture had an amylose concentration of 5%. Filming of the composition and its subjection to the breakdown in boiling water test produced a residue corresponding to 40 parts of EVOH and 15 parts of starch; this means that the quantity of amylose present was not sufficient to screen the solvation of all of the amylopectin.

A portion of the film, examined by TEM, was shown to be of micro-layered structure.

## COMPARISON EXAMPLE 3

Example 1 was repeated but with the EVOH replaced by Du Pont Elvanol 71-30 polyvinyl alcohol.

Filming of the composition and its subjection to the breakdown in boiling water test did not produce any insoluble residue.

A portion of film, examined by TEM, showed a micro-layered structure.

## EXAMPLE 18

The following composition (parts by weight):

	Comparison	<u>A</u>	<u>B</u>
Maize starch	26.4	26.4	26.4
Ecoflex (BASF)	63.8	53.3	56.3
Eco-PLA D4200	0	7.5	0
(Cargill)			
Lactic/glycolic acid	-	-	7.5
copolymer (70:30)			
Glycerine	5.5	4.35	4.6
Water	4.3	3.45	3.5

(Ecoflex is a poly(butylene adipate/terephthalate) copolymer) were extruded in a twin-screw extruder APV 2030 operating at 180°C/160 rpm and with a throughput of 40 Kg/h.

The water content and MFR after extrusion were:

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	water	MFR(dg/min)
Comparison	1.6%	2.3
Test A	1.7%	2.6
Test B	1.6%	2.5

The material was filmed in a Ghioldi apparatus (40 mm diameter and head of 100 mm)

Bags (60 X 90 cm) were produced.

A test using cut grass to fill the bags, conducted at 30°C and 75% RH gave the following result expressed as days for obtaining non-transportable bags:

	<u>days</u>
comparison	3
Test A	20
Test B	23

The IR spectrum of the film gave a FTIR band at about 947 cm<sup>-1</sup> typical of complexed starch.

After dissolution of Ecoflex, the residual starch was found to be complexed with polylactic acid and poly(lactic-glycolic) acid.

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#### CLAIMS

- Hydrophobic polymers incompatible with 1. containing, as a filler, a starch complex dispersed in the hydrophobic polymeric matrix in the form of particles with a numeral average size of less than 3 microns, bound to the polymer matrix by means of coupling agents containing groups compatible with the matrix and with the starch complex or by means of reactive groups present in the starch-complex capable of being fixed to the polymeric matrix, wherein the starch complex is characterized by a second-derivative IR absorption in the region of 940-950 cm 1, and the starch complex, in the case of hydrophobic biodegradable polymers selected from the group consisting of the aliphatic or aliphatic-aromatic polyesters, the aliphatic polyamides, polyamides-polyesters, polyurethane polyesters, polyurethane-polyamides, polyurea-polyesters is a complex of starch with a polymer different from the polymer forming the matrix and from ethylene-vinylalcohol copolymers or with other complexing agents.
- 2. Polymers according to Claim 1, in which the starch complex is dispersed in the form of particles having a numeral average size of less than 1 micron.
- 3. Polymers according to Claims 1 or 2, wherein the coupling agent is selected from the group consisting of a vinyl silane, an alkyl titanate, and bis-3-triethoxysilylpropyl tetrasulphide.
- 4. Polymers according to Claims 1 or 2, wherein the complexing agent different from the polymer forming the

polymeric matrix is selected from the group consisting of polylactic acid, polyglycolic acid, poly(lactic-glycolic) acid copolymers, ethylene-acrilyc acid copolymers, ethylene-vinylacetate copolymers.

- 5. Polymers according to any of Claims 1 to 4, in which the quantity of filler comprising the complex dispersed in the hydrophobic polymer is from 0.5 to 50% by weight.
- 6. Polymers according to any of Claims 1 to 5, in which the starch complexes are produced from compositions of starch with polymers compatible with starch containing lyophilic groups and lyophobic sequences, wherein the starch complex is present and from which a micro-dispersion of particles with numeral average diameters of less than 1 micron is formed by treatment in water at 100°C under stirring.
- 7. Polymers according to any of Claims 1 to 6, produced with the use of compositions having a water content of less than 20%, and higher than 2% by weight, and a Tg below 0°C.
- 8. Polymers according to Claim 6, in which the polymer which can form complexes with starch is selected from the group comprising copolymers of ethylene with polar monomers.
- 9. Polymers according to Claim 8, in which the copolymer is selected from the group comprising copolymers of ethylene with vinyl alcohol, vinyl acetate and acrylic acid.

- 10. Polymers according to Claim 9, in which the ethylene/vinyl alcohol copolymer contains from 50 to 75% of vinyl alcohol in moles.
  - 11. Polymers according to Claim 6, in which the polymer which can complex with the starch is selected from copolymers of polyester/polyurethane, polyamide/polyester, aliphatic and aliphatic aromatic polyesters and polyamides.
  - 12. Polymers according to any of Claims 1 to 11, in which the hydrophobic polymer incompatible with starch is selected from the group consisting of ethylene polymers and copolymers, crystalline propylene polymers and copolymers, aromatic polyester resins, polyamides, polyoxymethylene resins, polyphenylene oxide resins, and polycarbonates.
  - 13. Polymers according to any of Claims 1 to 11, in which the hydrophobic polymer is a rubber selected from the group consisting of styrene-butadiene rubbers, polybutadiene rubbers, polyisoprene rubbers, ethylene-propylene and ethylene-propylene-diene rubbers, and natural rubber.
  - 14. A method for preparing filled polymers according to any of Claims 1 to 13, in which a composition comprising the starch/polymer complex, forming of part а continous interpenetrated structure between the complexing polymer and the complex is mixed, in the melt state or under hot mastication conditions, with the hydrophobic incompatible with starch, in the presence of coupling agents containing groups reactive with the polymer matrix and with the complex.

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- 15. A method of preparing filled polymers according to any of Claims 1 to 13, in which a composition comprising the starch/polymer complex is mixed with a rubber at a processing temperature between 140 and 160C, in the presence of coupling agents containing groups reactive with the polymer matrix and with the complex.
  - 16. A method according to Claim 14 and 15, in which the coupling agent is selected from vinyl and tetrasulphide silanes and alkyl titanates.
  - 17. A method according to any of claims 14, 15 and 16 in which the coupling agent is used in a quantity of from 0.05 to 10% by weight of the complex.
  - 18. A method for preparing filled polymers according to 13, wherein the polymeric matrix 1 to biodegradable polymer selected from the group consisting of polyesters, the aliphatic aliphatic-aromatic polyamides, the polyamides-polyesters, polyurethanepolyesters, polyurethane-polyamides and poliurea-polyesters comprising melt-mixing the polymer forming the polymeric matrix with a complex of starch having the characteristics as set forth in claim 1 and further characterized by being formed of starch complexed with a polymer different from the polymer forming the matrix and from the ethylenevinylalcohol copolymers or with other complexing agents.
  - 19. A method according to claim 18, wherein the starch complex is preformed or formed during melt-mixing.

- 20. Shaped articles obtainable from the hydrophobic polymers of claim 1 to 13.
  - 21. Shaped articles obtainable from the hydrophobic polymers of claims 1 to 13, wherein the hydrophobic polymer is selected from the group consisting of the aliphatic and aliphatic-aromatic polyesters, polyurethane-polyamides, polyurea-polyesters, and polyurethane-polyesters.
  - 22. Films and compostable bags obtainable from the hydrophobic polymers of claims 1 to 13.
  - 23. Tyres obtainable from the rubbers of claim 13.

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#### INTERNATIONAL SEARCH REPORT

n polication No PCT/EP 99/07038

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C08L29/04 C08L21/00

C08L71/12

C08L21/00 C08L23/02 C08L69/00 C08K5/548 C08L67/00

C08L59/00

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 COSL COSK

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. C	OCUMENTS	CONSIDERED	TO BE RELEVANT

Category '	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 92 14782 A (NOVAMONT SPA) 3 September 1992 (1992-09-03) cited in the application page 19, paragraph 6 -page 20, paragraph 2; claims 1,13	1,6,8,9,
E	EP 0 965 615 A (NOVAMONT SPA) 22 December 1999 (1999-12-22) claims 1,5,11,12; examples	1,12,18
X	WO 98 20073 A (BELLOTTI VITTORIO ;CELLA GIAN DOMENICO (IT); DEL GIUDICE LUCIANO () 14 May 1998 (1998-05-14) claims 1,11,28,38,40,48	1,2,4, 12,18,22
A	EP 0 404 727 A (WARNER LAMBERT CO) 27 December 1990 (1990–12–27) claims 1,4,11–13; examples ———	1,4,7,12
	-/	

χ Further documents are listed in the continuation of box C.

χ Patent family members are listed in annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filling date but later than the priority date claimed
- "" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

Date of mailing of the international search report

"&" document member of the same patent family

Date of the actual completion of the international search

25 January 2000 04/02/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Authorized officer

Engel, S



Int ional Application No PCT/EP 99/07038

Category	ntinuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Jalegory '	Citation of document, with indication where appropriate, of the relevant passages	Relevant to claim No.	
<b>K</b>	DATABASE WPI Derwent Publications Ltd., London, GB; AN 94-057775 XP002031921 & CA 2 069 861 A (BESHAY) 29 November 1993 (1993-11-29) abstract	1,12,13	
	EP 0 795 581 A (GOODYEAR TIRE & RUBBER) 17 September 1997 (1997-09-17) page 3, paragraph 3; claims 1,3; examples	1,13-16, 23	
	-		
1			
	o		

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

Information on patent family members

in itional Application No PCT/EP 99/07038

	tent document in search repor	τ	Publication date		Patent family member(s)	Publication date
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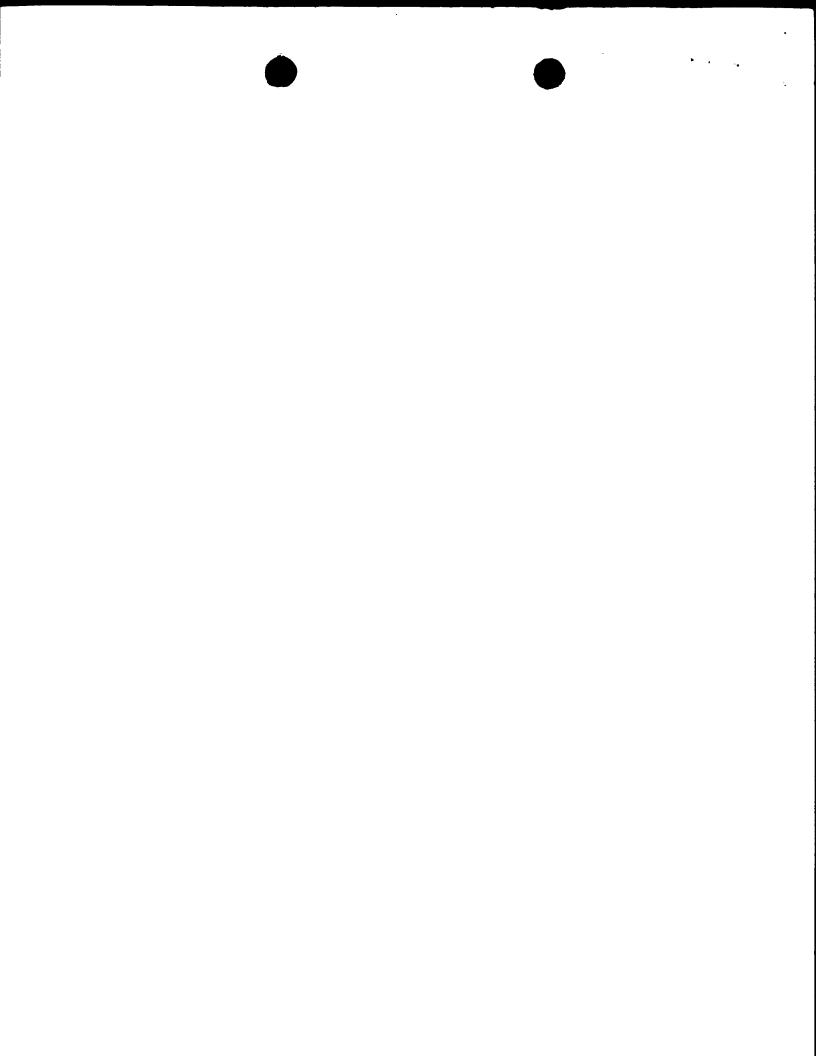
# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

**PCT** 

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference PC273PR		FOR FURTHER ACTION		tion of Transmittal of International Examination Report (Form PCT/IPEA/416)			
International appli	cation No.	International filing date (day/month	/year)	Priority date (day/month/year)			
PCT/EP99/070	038	22/09/1999		22/09/1998			
International Pater C08L29/04	nt Classification (IPC) or na	tional classification and IPC					
Applicant							
NOVAMONT	S.P.A. et al.						
This internal and is trans	ational preliminary exam smitted to the applicant a	ination report has been prepared according to Article 36.	by this Inter	rnational Preliminary Examining Authority			
2. This REPO	RT consists of a total of	4 sheets, including this cover s	heet.				
This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  These annexes consist of a total of 5 sheets.							
3. This report	contains indications rela	ating to the following items:					
ı 🛭	Basis of the report						
	Priority						
	Non-establishment of o	opinion with regard to novelty, in	ventive step	and industrial applicability			
	Lack of unity of inventi						
∨ ⊠	Reasoned statement u citations and explanati	inder Article 35(2) with regard to ons suporting such statement	novelty, inve	entive step or industrial applicability;			
VI 🗆	Certain documents cit						
VII □		international application					
VIII 🗆	Certain observations of	on the international application					

Date of submission of the demand	Date of completion of this report	
18/04/2000	2 1. 12.00	
Name and mailing address of the international preliminary examining authority:	Authorized officer	DE PROPES MATERIALIS
European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d	Boletti, C	
Fax: +49 89 2399 - 4465	Telephone No. +49 89 2399 8527	AN SOMO - SOUR

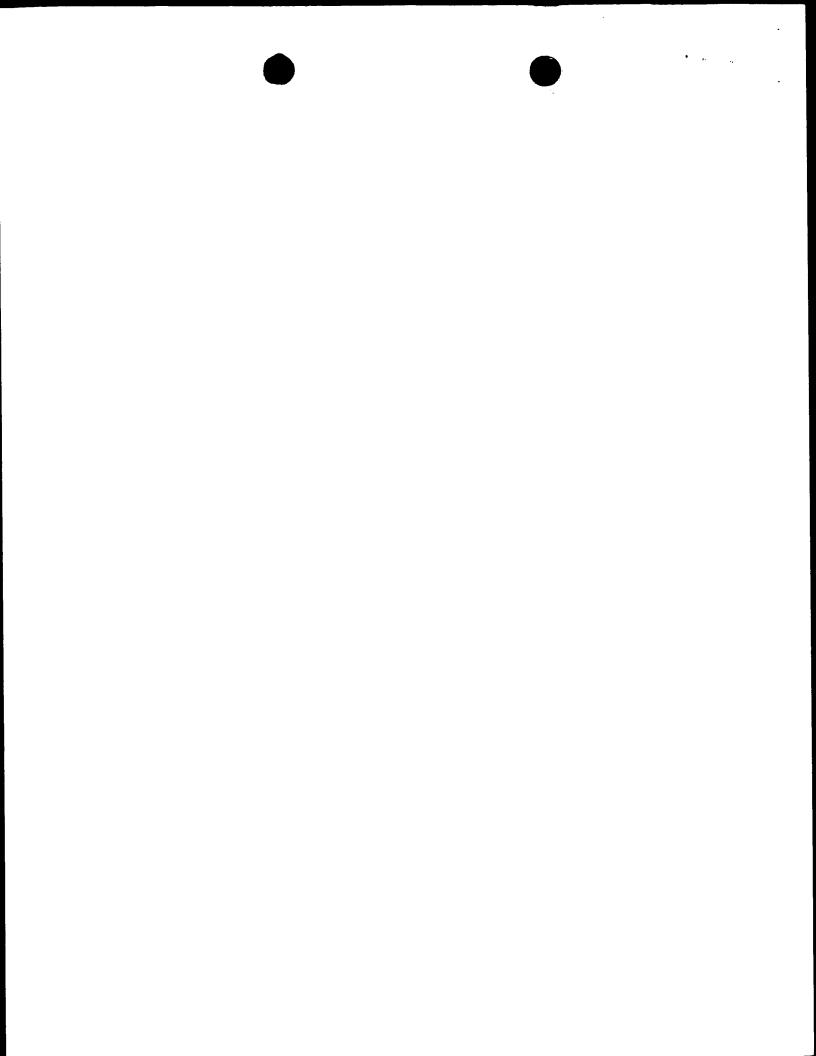




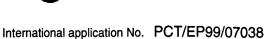
International application No. PCT/EP99/07038

I. Basis	of the	report
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1.	resp the	oonse to an invitatio		sheets which have been furnished to the receiving Office in to in this report as "originally filed" and are not annexed to es 70.16 and 70.17).):
	1,2,	4-18	as originally filed	
	3		with telefax of	19/10/2000
	Clai	ims, No.:		
	1-24	1	with telefax of	19/10/2000
2.				above were available or furnished to this Authority in the ed, unless otherwise indicated under this item.
	The	se elements were a	available or furnished to this Aut	thority in the following language: , which is:
		the language of a	translation furnished for the purp	poses of the international search (under Rule 23.1(b)).
		the language of pu	ublication of the international app	plication (under Rule 48.3(b)).
		the language of a 55.2 and/or 55.3).	translation furnished for the pur	poses of international preliminary examination (under Rule
3.				quence disclosed in the international application, the on the basis of the sequence listing:
		contained in the in	ternational application in written	ı form.
		filed together with	the international application in o	computer readable form.
		furnished subsequ	ently to this Authority in written	form.
		furnished subsequ	ently to this Authority in comput	ter readable form.
			t the subsequently furnished wr pplication as filed has been furn	itten sequence listing does not go beyond the disclosure in inshed.
		The statement tha listing has been fu		mputer readable form is identical to the written sequence
4.	The	amendments have	resulted in the cancellation of:	
		the description,	pages:	
		the claims,	Nos.:	
		the drawings,	sheets:	







This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):
(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

: Claims

No:

Claims 1

Inventive step (IS)

Yes: Claims

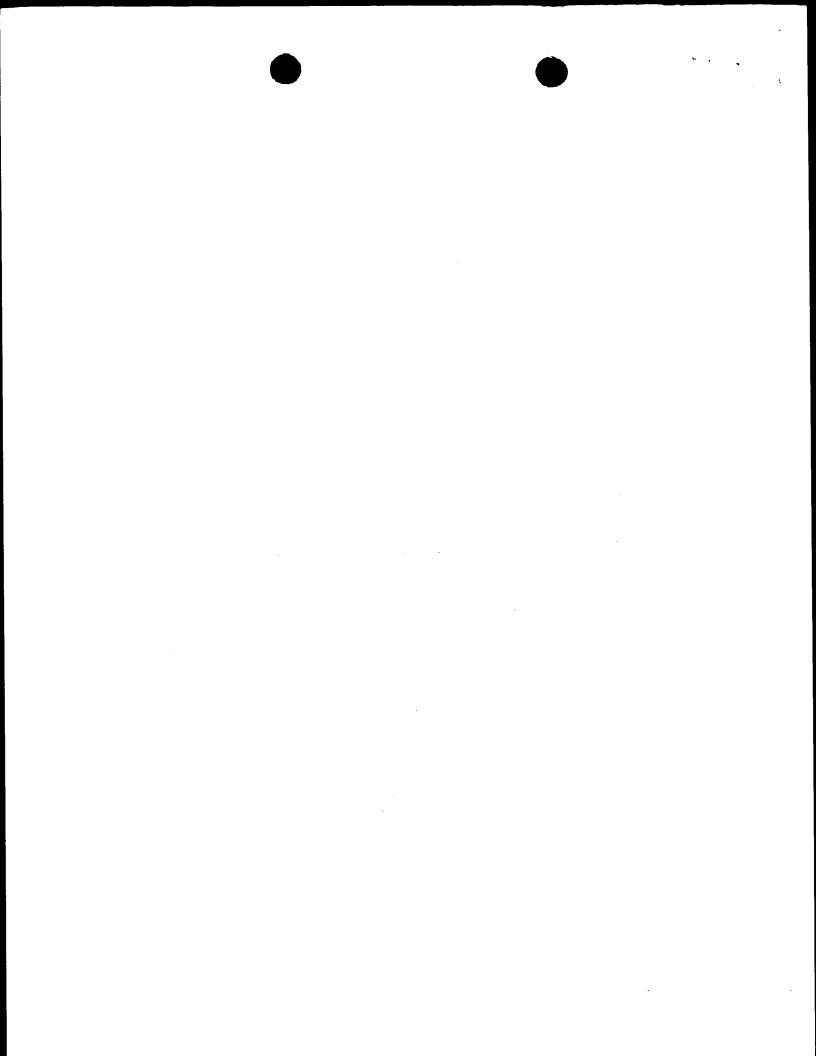
No: Yes: Claims 2-24

Industrial applicability (IA)

Claims 1-24

No: Claims

2. Citations and explanations see separate sheet



### INTERNATIONAL PRELIMINARY **EXAMINATION REPORT - SEPARATE SHEET**

#### **ITEM V**

Reference is made to the following document:

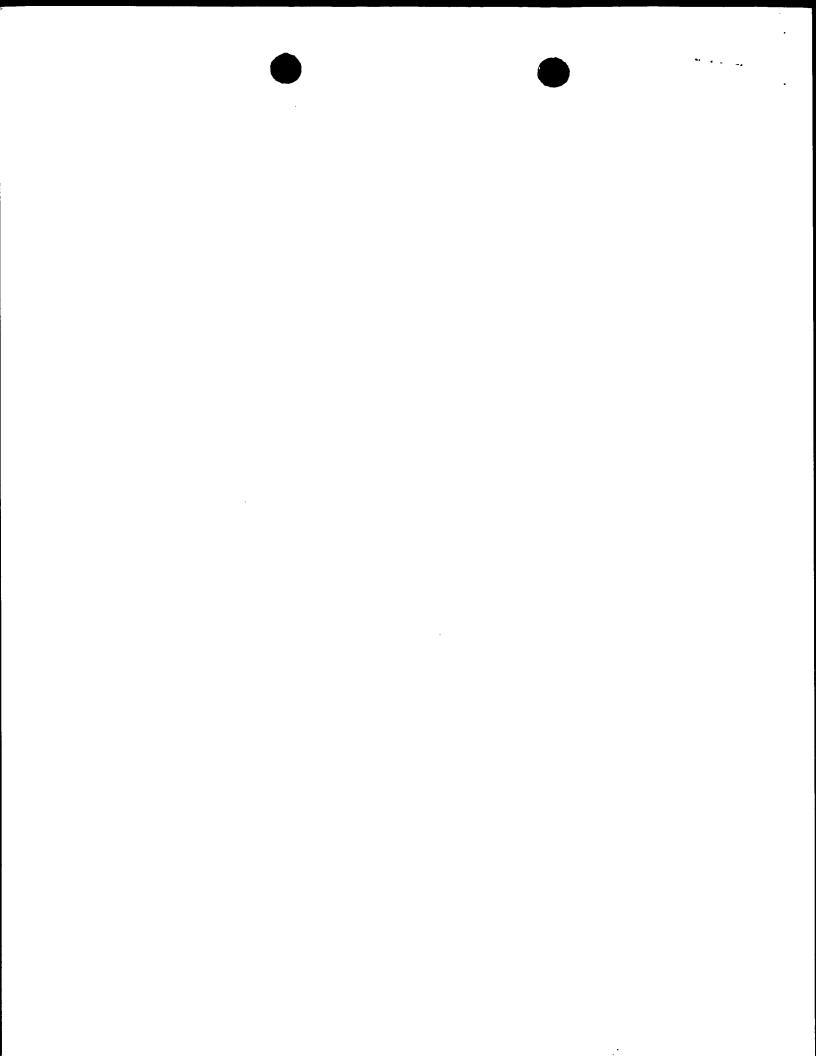
D1: WO 98/20073 (cf. claims 1, 28, 37, 38)

Hydrophobic polymers incompatible with starch containing, as filler, a starch complex dispersed in the hydrophobic polymeric matrix, bound to the polymer matrix by means of coupling agents containing groups compatible with the matrix and with the starch complex, have already been disclosed in D1. The starch complex of D1 is in the form of particles with a numeral average size of less than 1  $\mu m$ .

Even though D1 does not explicitly mention the solubility in water and the secondderivative IR absorption, it is reasonable to assume that the starch complex quoted therein shows the same IR absorption and water-solubility as those indicated in the present application, since the composition of D1 has been prepared in the same way as the present composition.

Therefore, the subject-matter of the present claim 1 is not novel under article 33(2) PCT.

The subject-matter of claims 2 to 24 is either not novel with respect to D1 or would not appear to be inventive with regard to this teaching (Art. 33 (2) and (3) PCT).





#### REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

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\* RO/EP

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PCT/EP 99 / 07 0 38

International Application No.

2 2 09 1999

International Filing Date

EUROPEAN PATENT OFFICE PCT INTERNATIONAL APPLICATION

Name of receiving Office and "PCT International Application"

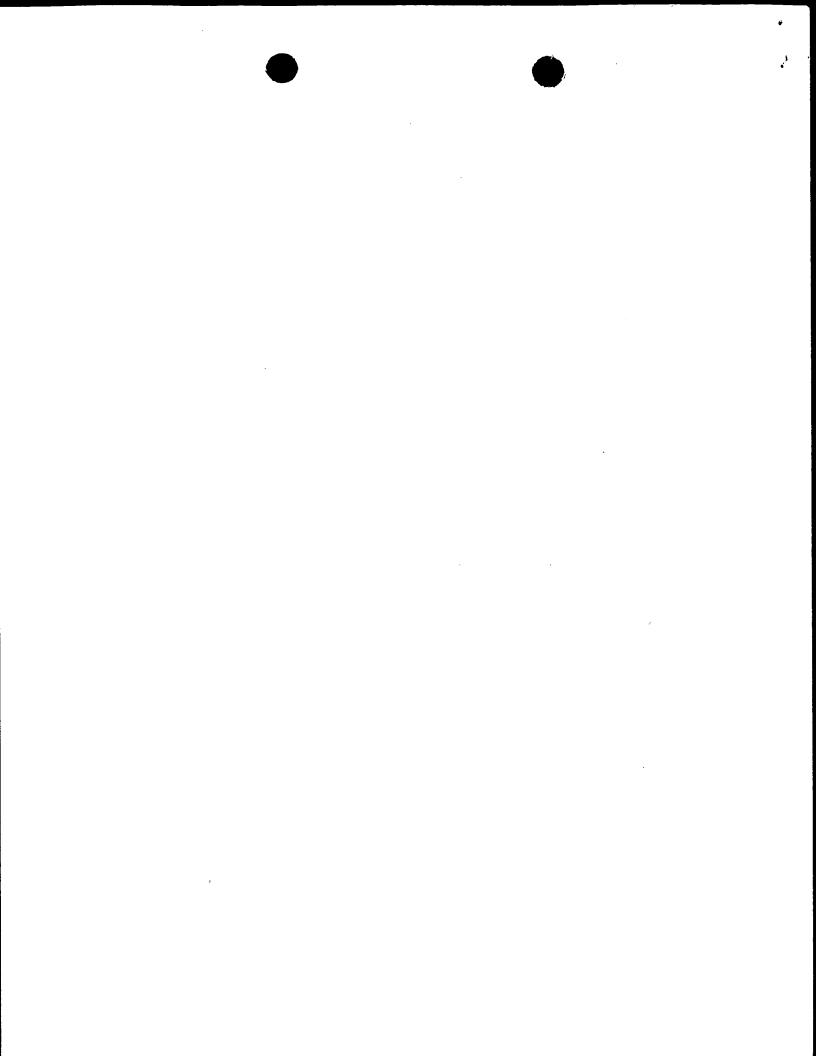
Applicant's or agent's file reference (if desired) (12 characters maximum) PC273PR Box No. I TITLE OF INVENTION "Polymers of a hydrophobic nature, filled with starch complexes" Box No. II APPLICANT Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) This person is also inventor. Telephone No. NOVAMONT S.p.A. Via Fauser 8 Facsimile No. I-28100 NOVARA 17 Teleprinter No. State (that is, country) of residence: State (that is, country) of nationality: IT the States indicated in the Supplemental Box the United States of America only all designated States all designated States except the United States of America This person is applicant X for the purposes of: FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S) Box No. III Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) This person is: applicant only **BASTIOLI**, Catia Via della Noce 63 applicant and inventor I-28100 NOVARA iT \* inventor only (If this check-box is marked, do not fill in below.) State (that is, country) of nationality: State (that is, country) of residence: IT the States indicated in the Supplemental Box the United States of America only This person is applicant for the purposes of: all designated States all designated States except the United States of America Further applicants and/or (further) inventors are indicated on a continuation sheet. AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE Box No. IV The person identified below is hereby/has been appointed to act on behalf X agent common representative of the applicant(s) before the competent International Authorities as: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) Telephone No. Name and address: (39) (011) 2440311 RAMBELLI, Paolo (IT); JACOBACCI, Filippo (IT); JACOBACCI, Guido (IT); SACONNEY, Piero (IT); QUINTERNO, Giuseppe (IT); GERBINO, Facsimile No. Angelo (IT), SERRA, Francesco (IT); FIORAVANTI, Corrado (IT); (39) (011) 286300 / 286676 all c/o JACOBACCI & PERANI S.p.A., Corso Regio Parco 27, I-10152 TORINO (Italy) Teleprinter No.

Adress for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the

space above is used instead to indicate a special address to which correspondence should be sent.

Form PCT/RO/101 (first sheet) (July 1998; reprint July 1999)

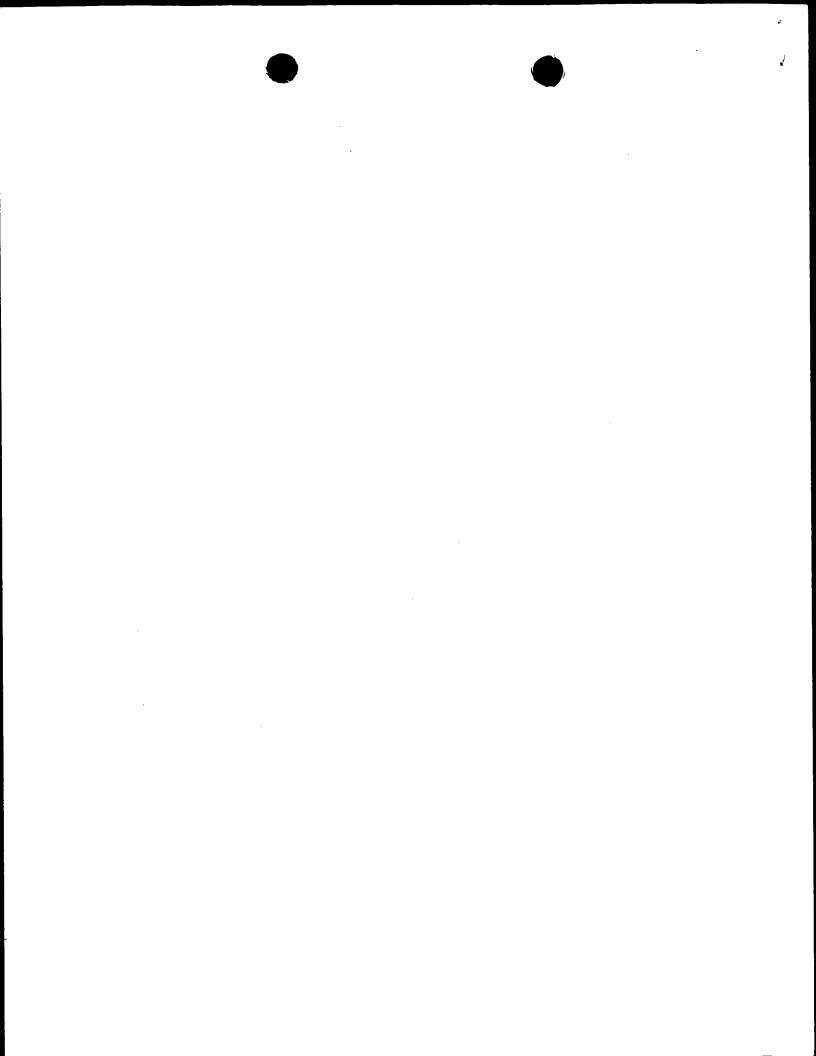
See Notes to the request form



		2
Sheet	NA	_
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t.	Continuation of Box No. III FURTHER APPLICANTS AND/OR (FURTHER) INVENTORS							
	If none of the following sub-boxes is used,	this sheet should not be i	ncluded in the request.					
Rolep	Name and address: (Family name followed by given name: for a legal en The address must include postal code and name of country. The country of Box is the applicant's State (that is, country) of residence if no State of re	This person is:  applicant only  applicant and inventor  inventor only Af this check-box						
			is marked, do not fill in below.)					
	State (that is, country) of nationality:	State (that is, country,	of residence:					
	This person is applicant all designated for the purposes of:		United States  the States indicated in the Supplemental Box					
Rolep	Name and address: (Family name followed by given name: for a legal enter the address must include postal code and name of country: The country of Box is the applicant's State (that is, country) of residence if no State o	ity, full official designation. he address indicated in this dence is indicated below.)	This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)					
	State (that is, country) of nationality:	State (that is, country) of residence:  IT						
	This person is applicant all designated for the purposes of:	States except the los of America	United States the States indicated in the Supplemental Box					
·	Name and address: (Family name followed by given name; for a legal ent The address must include postal code and name of country. The country of to Box is the applicant's State (that is, country) of residence if no State of residence	itv, full official designation. he address indicated in this dence is indicated below.)	This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)					
	State (that is, country) of nationality:	State (that is, country)	of residence:					
	This person is applicant all designated for the purposes of:		United States the States indicated in the Supplemental Box					
	Name and address: (Family name followed by given name; for a legal entity. The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of residence is no State of residence if no State of residence is no State of residence if no State of residence is no St	ty, full official designation. e address indicated in this ence is indicated below.)	This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)					
	State (that is, country) of nationality:	State (that is, country)	of residence:					
	This person is applicant all designated for the purposes of:	States except the tes of America of A	United States the States indicated in the Supplemental Box					
	Further applicants and/or (further) inventors are indicated on	another continuation shee	1.					

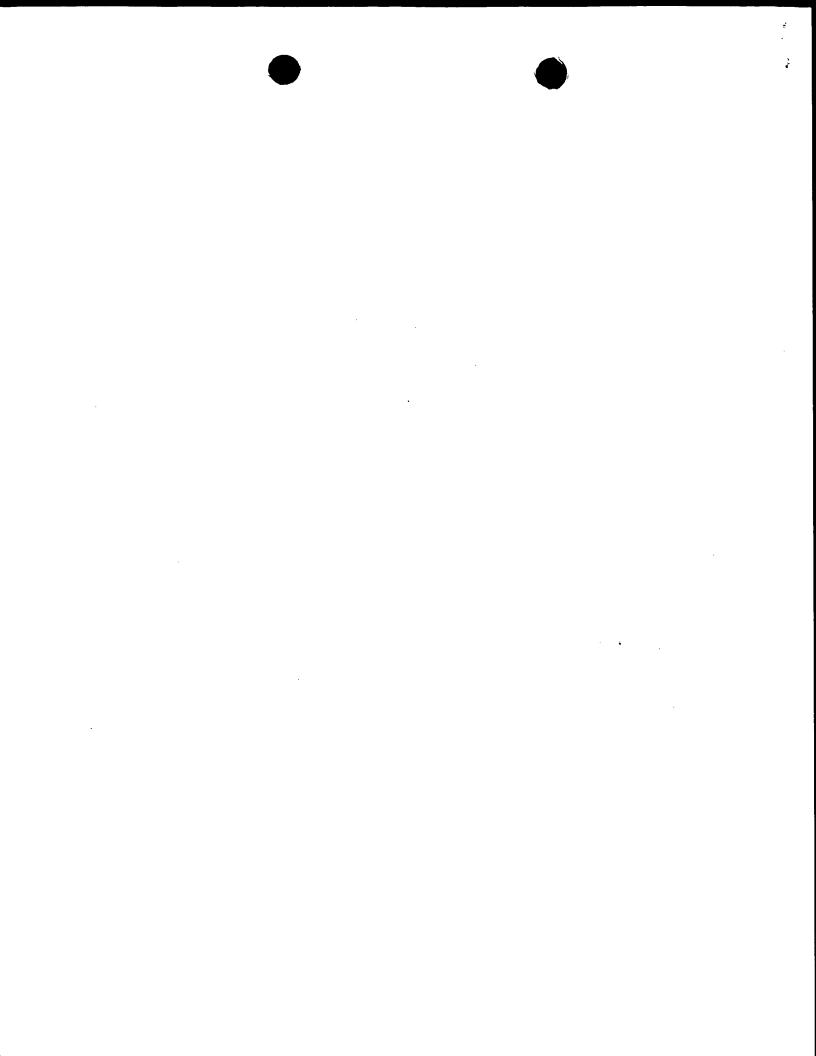
Form PCT/RO/101 (continuation sheet) (July 1998; reprint July 1999)



Sheet No. .3:...

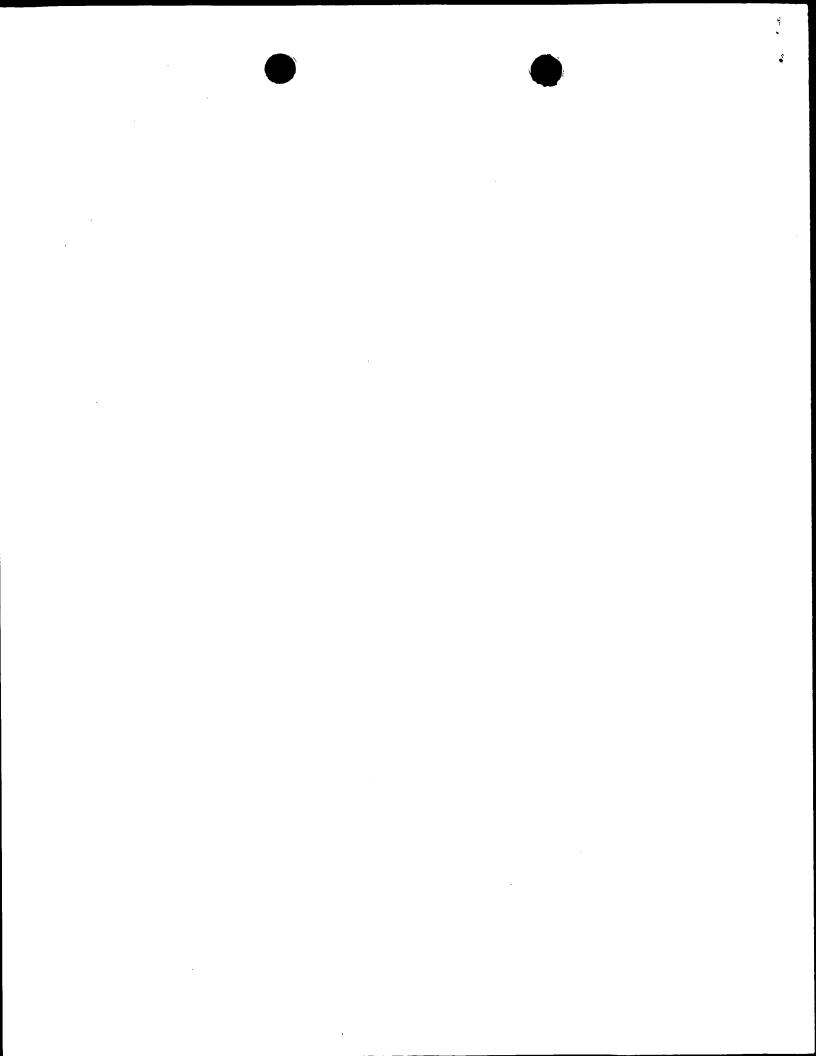
Box	No.V	DESIGNATION OF STATES							
The	The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):								
	onal P	•		.c upp	The state of the s				
X.		ARIPO Patent: GHGhana, GM Gambia, KE Kenya.			MW Malawi, SD Sudan, SL Sierra Leone, SZ Swaziland, Contracting State of the Harare Protocol and of the PCT				
· K	EA	Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT							
X	PD.	of the Eurasian Patent Convention and of the PCT		T C	traduction of the state of the				
۳	EP	DK Denmark, ES Spain, FI Finland, FR France, GB	Unite	d King	itzerland and Liechtenstein, CY Cyprus, DE Germany, adom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, other State which is a Contracting State of the European				
X	OA	OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo. and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)							
Natio	nal Pate	ant (if other kind of protection or treatment desired, specify							
		United Arab Emirates	_						
×		Albania	X		Liberia				
X		Armenia	X		Lesotho				
X			X		Lithuania				
		Austria	X		Luxembourg				
X		Australia	X	LV	Latvia				
X		Azerbaijan	X	MD	Republic of Moldova				
X		Bosnia and Herzegovina	X	MG	Madagascar				
X		Barbados	X	MK	The former Yugoslav Republic of Macedonia				
X	BG	Bulgaria							
X	BR	Brazil	X	MN	Mongolia				
X	BY	Belarus	X	MW	Malawi				
X	CA	Canada	X	MX	Mexico				
X	CH	and LI Switzerland and Liechtenstein	X	NO	Norway				
X	CN	China	X	NZ	New Zealand				
X	CU	Cuba	X	PL	Poland				
X	CZ	Czech Republic	X	PT	Portugal				
X	DE	Germany	X		Romania				
X	DK	Denmark	X		Russian Federation				
X	EE	Estonia	X	SD	Sudan				
X	ES	Spain	X	SE	Sweden				
X	FI	Finland	X	SG	Singapore				
×		United Kingdom	_		Slovenia				
X		Grenada	X	SI	Slovakia				
X		Georgia	X	SK					
X		Ghana	X	SL	Sierra Leone				
X		Gambia	X	TJ	Tajikistan				
X		Croatia	X		Turkmenistan				
×		Hungary	X		Turkey				
X	ID	Indonesia	X	TT	Trinidad and Tobago				
X			X	UA	Ukraine				
	IL	Israel	X	UG	Uganda				
X	IN	India	X	US	United States of America				
X	IS	Iceland							
X	JP	Japan	X	UZ	Uzbekistan				
X		Kenya	X	VN	Viet Nam				
X		Kyrgyzstan	X	YU	Yugoslavia				
X	KP	Democratic People's Republic of Korea	X	ZA	South Africa				
		••••••	X	zw	Zimbabwe				
X		Republic of Korea	Che	ck-bo	xes reserved for designating States which have				
X	KZ	Kazakhstan	beco	me pa	irty to the PCT after issuance of this sheet:				
X	LC	Saint Lucia	X		COSTA RICA 🔀 TZ TANZANIA				
X	LK	Sri Lanka	X	.ÞM.	DOMINICA				

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn bythe applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)



Sheet No. 4

Box No. VI PRIORITY C	LAIM	Further pri	Ority claims are indicated	in the Supplemental Bo	
Filing date	Number		Where earlier applicat		
of earlier application	of earlier application	national application:			
(day/month/year)		country	regional application:* regional Office	international application receiving Office	
item(1) (22.09.1998) 22 SEPTEMBER 1998	TO98A000800	ITALY		1888 Vinig Office	
item (2)					
item (3)					
purposes of the present into	i) (only if the earlier apernational application	ansmit to the International Bu oplication was filed with the is the receiving Office) identif	Office which for the ied above as item(s):		
* Where the earlier application is Convention for the Protection of In	an ARIPO application, it dustrial Property for whice	is mandatory to indicate in the S ch that earlier application was fi	Supplemental Box at least of	ne country party to the Pa	
Box No. VII INTERNATIO	NAL SEARCHING A	UTHORITY	ica (Nate 4.10(b)(tt)). See	зирргетения вох.	
Choice of International Search (if two or more International Sea competent to carry out the interna- the Authority chosen; the two-letter	ing Authority (ISA) rching Authorities are tional search, indicate	Request to use results of ear search has been carried out by o Date (day/month/year)	or requested from the Intern	to that search (if an earlinational Searching Authority Country (or regional Office	
ISA / EP					
Box No. VIII CHECK LIST			7.07 \		
This international application co the following number of sheets	:	ional application is accompan  culation sheet	ied by the item(s) marke	d below:	
request : 4 description (excluding	1 -	te signed power of attorney	(to follows)		
sequence listing part) : 18	1	of general power of attorney;		:	
claims : 5	4. 🔲 statem	ent explaining lack of signatu	ге		
abstract : 1	5. 🗷 priorit	y document(s) identified in Bo	ox No. VI as item(s): (1)	( to follow)	
drawings :	6. 🔲 transla	tion of international application	on into (language):		
sequence listing part of description :		te indications concerning depo tide and/or amino acid sequen		_	
Total number of sheets: 28	9.  other (		ice fishing in computer re	adable form	
Figure of the drawings which should accompany the abstract:		Language of filing of the international application:	nglish		
Box No. IX SIGNATURE O	F APPLICANT OR A		<del></del>		
Next to each signature, indicate the nam			an GC and a survivation to the	·	
Dark		•	- 19 state supplies	om from reading the requesty	
RAMBELLI, Paolo					
	For	receiving Office use only -			
. Date of actual receipt of the p international application:	urported 2 2	,	2 2. 09. 1999)	2. Drawings:	
<ul> <li>Corrected date of actual receiptimely received papers or draw the purported international appropriate the purport</li></ul>	vings completing			received:	
. Date of timely receipt of the n corrections under PCT Article	: [1(2):			not received:	
International Searching Autho (if two or more are competent)	rity ISA /	6. Transmittal until search	of search copy delayed fee is paid.		
	For Int	ernational Bureau use only			
Date of receipt of the record copy by the International Bureau:	,	•			
rm PCT/RO/101 (last sheet) (Jul	ly 1998; ; reprint July 1	999)	See Note	es to the request form	



	must be filed chosen by the	a with applicant.	the competent The full name	International or two-letter	Preliminary code of tha	Examining at Authority	ority or may be ind	, if two or licated by	r more Authoritie the applicant on	s are competed	nt,
TOTAL !								•	••		

## **PCT**

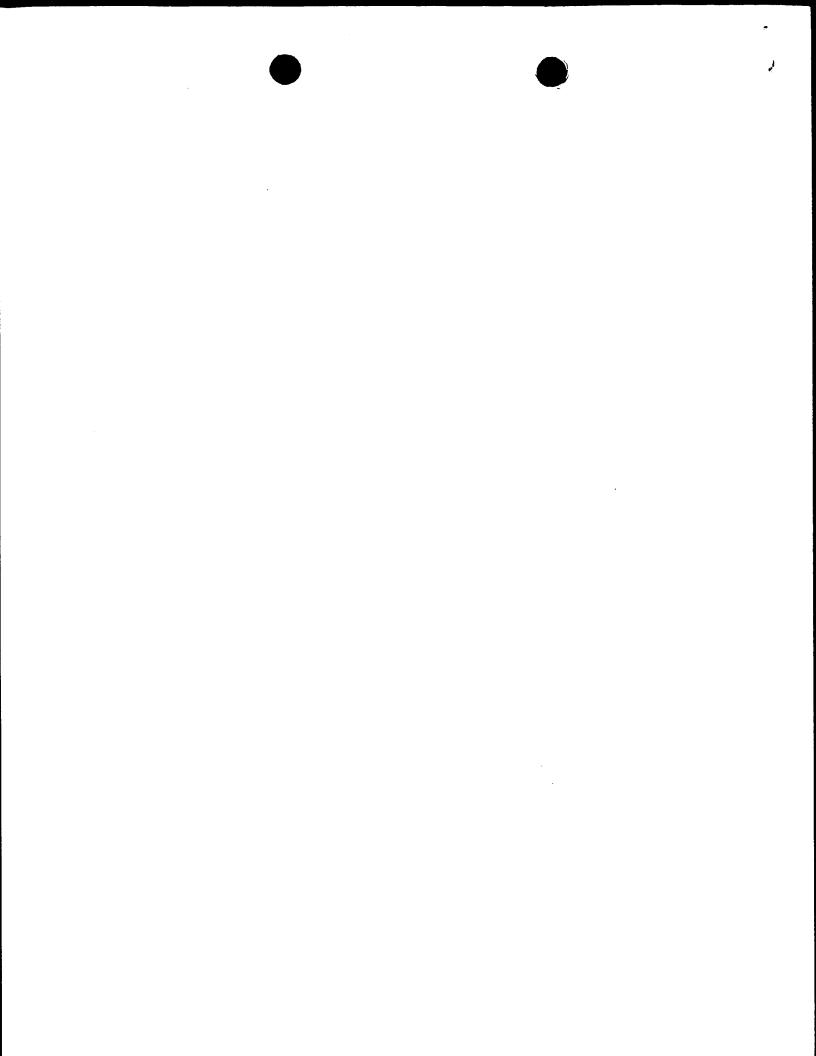
CHAPTER II

#### **DEMAND**

under Article 31 of the Patent Cooperation Treaty:

The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

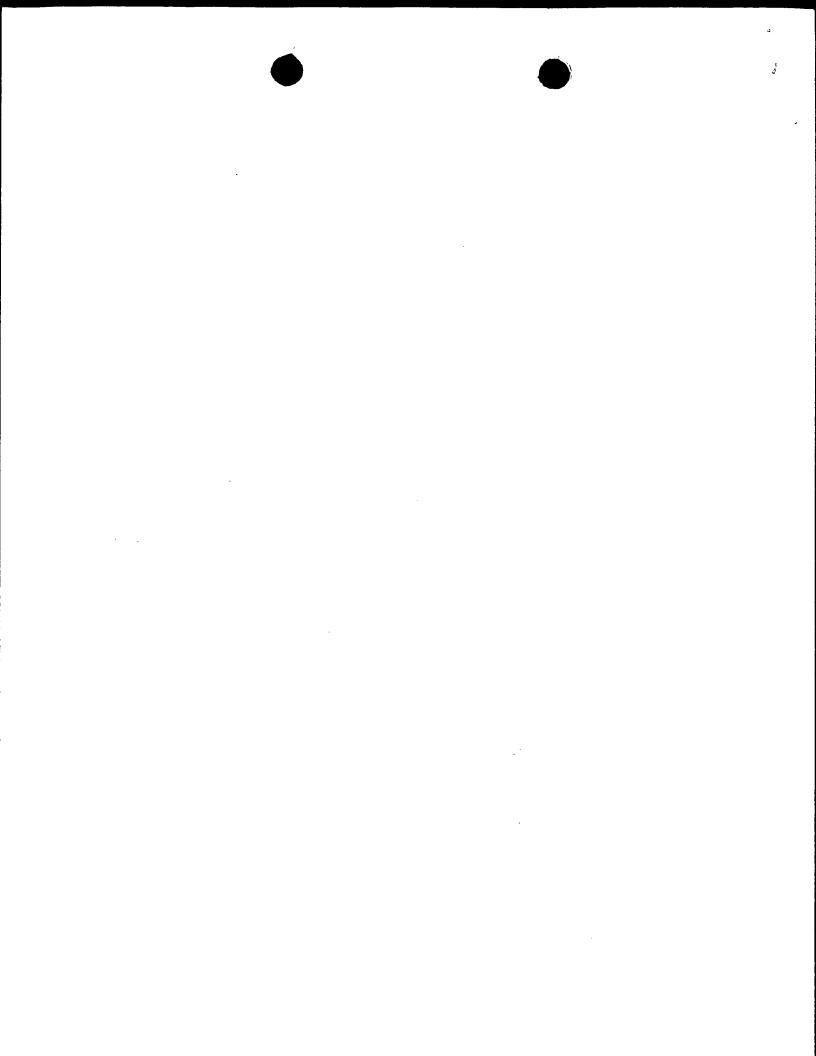
For	r International Preliminar	y Examining Authorit	y use only		
Identification of IPEA			Date of receipt of DEMAND		
Box No. I IDENTIFICATION OF T	HE INTERNATIONAL	LAPPLICATION	Applicant's or agent's file reference PC273PR		
International application No.	International filing da	ate (day/month/year)	(Earliest) Priority date (day/month/year)		
PCT/EP99/07038	22 September 199	9 (22.09.1999)	22 September 1998 (22.09.1998)		
Title of invention	L-,,-				
"Polymers of a hydrophobic nature	e, filed with starch co	mplexes"			
Box No. II APPLICANT(S)					
Name and address: (Family name followed by g The address must include p	given name; for a legal entity, fi ostal code and name of country	'ull ufficial designation. Y.)	Telephone No.:		
NOVAMONT S.p.A. Via Fauser 8		_	Facsimile No.:		
1-28100 NOVARA (Italy)		- !	Teleprinter No.:		
State (that is, country) of nationality:		State (that is, country)	) of residence:		
Name and address: (Family name followed by g	iven name; for a legal entity, f	full official designation. The	e address must include postal code and name of country.)		
BASTIOLI, Catia Via della Noce 63 I-28100 NOVARA (Italy)					
C		F 27 (7)			
State (that is, country) of nationality:	1	State (that is, country)	of residence:		
Name and address: (Funtly name followed by g	iven name; fur a legal entity, fi	ill official designation. The	e address must include poxtal code and name of country.)		
BELLOTTI, Vittorio Via Mora e Gibin 9 I-28010 FONTANETO D'AGOG	iNA (Novara) Italy				
State (that is, country) of nationality:		State (that is, country)	of residence:		
Further applicants are indicated on a	a continuation sheet.				



Sheet No. 2.

International application No.
PCT/EP99/07038

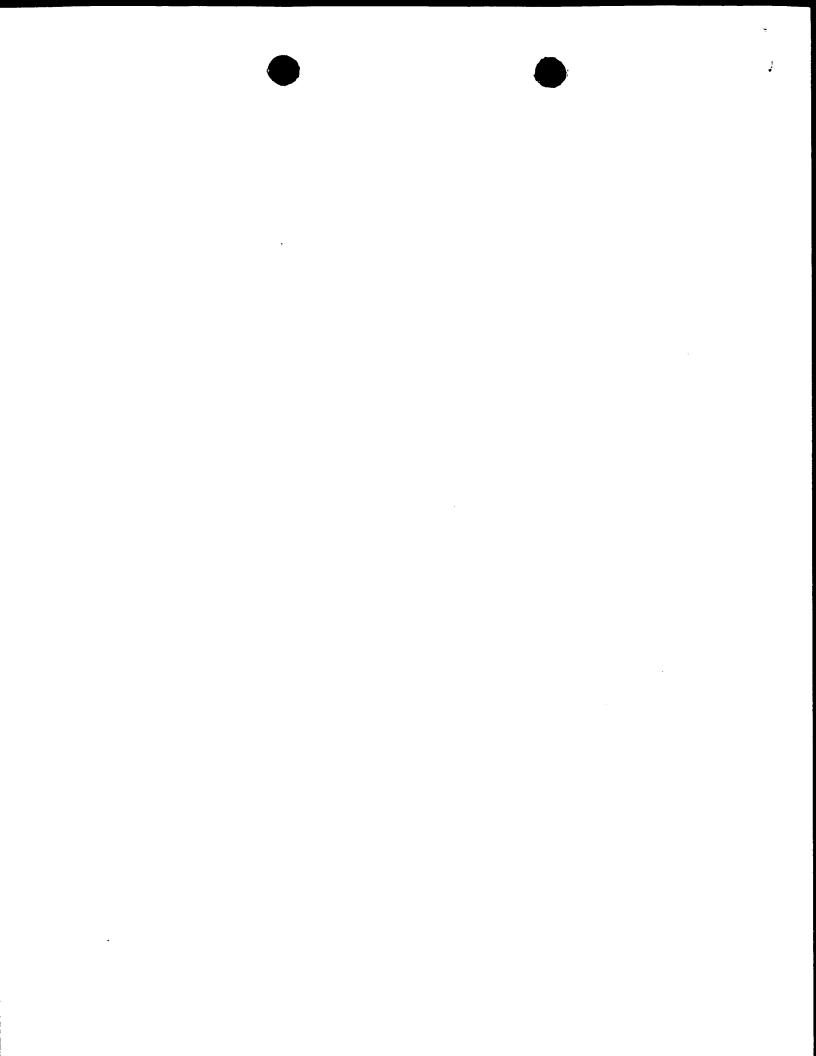
	1 01/11 99/07 030			
Continuation of Box No. II APPLICANT(S)				
If none of the following sub-boxes is used, this sheet should not be included in the demand.				
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  MONTINO, Alessandro Via Bellotti 15 I-27038 ROBBIO LOMELLINA (Pavia) Italy				
State (that is, country) of nationality:	State (that is, country) of residence:			
IT.	ΙΤ			
Name and address: (Family name followed by given name; for a legal entity, j	full afficial designation. The address must include postal code and name of country.)			
•				
	•			
<b>!</b>				
State (that is, country) of nationality:	State (that is, country) of residence:			
Name and address: (Family name followed by given name; for a legal entity, f				
State (that is, country) of nationality:	State (that is, country) of residence:			
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)				
State (that is, country) of nationality:	State (that is, country) of residence:			
Further applicants are indicated on another continuation shee	et.			



Sheet No. 3

International application No. PCT/EP99/07038

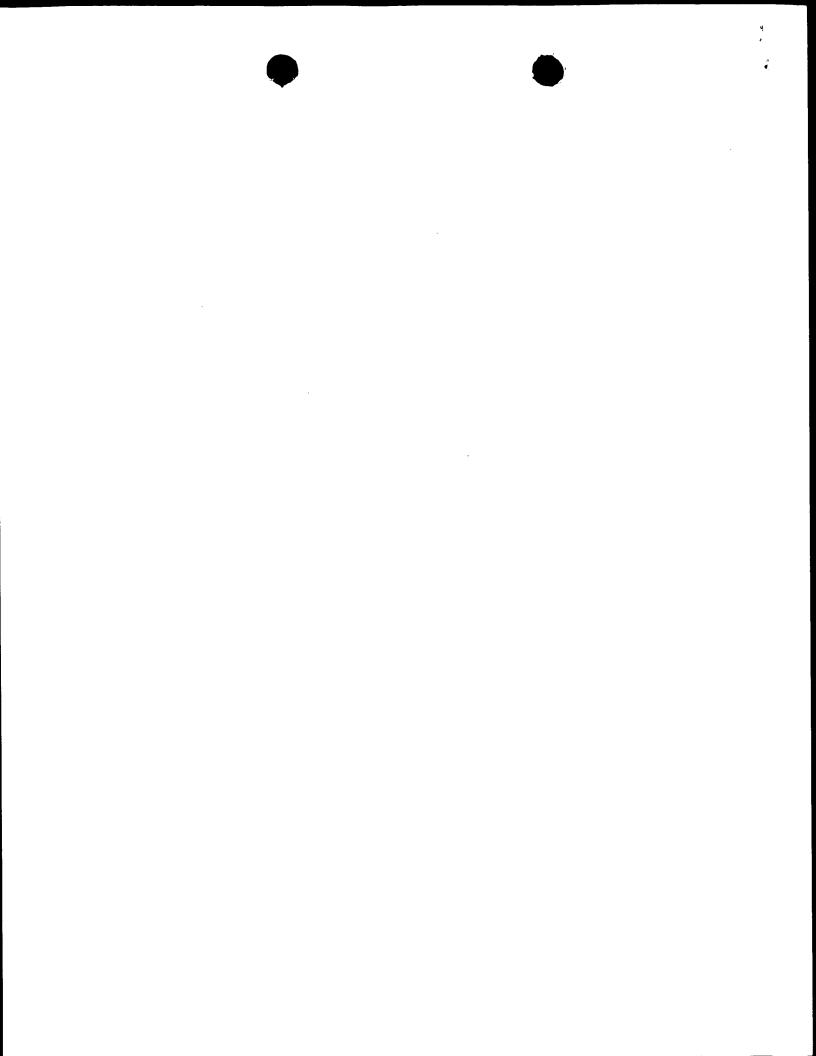
	1 0 1/21 00/07030				
Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CO	RRESPONDENCE				
The following person is agent common representative	·				
and 🗶 has been appointed earlier and represents the applicant(s) also for international preliminary examination.					
is hereby appointed and any earlier appointment of (an) agent(s)/common represer	ntative is hereby revoked.				
is hereby appointed, specifically for the procedure before the International Prelimithe agent(s)/common representative appointed earlier.	nary Examining Authority, in addition to				
Name and address: (Fumily name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	Telephone No.:				
RAMBELLI, Paolo (IT)	+39 +011 2440311				
c/o JACOBACCI & PERANI S.p.A.	Facsimile No.:				
Corso Regio Parco 27	+39 +011 286300 / 286676				
I-10152 TORINO (Italy)	Teleprinter No.:				
Address for correspondence: Mark this check-box where no agent or common repspace above is used instead to indicate a special address to which correspondence	resentative is/has been appointed and the should be sent.				
Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION					
Statement concerning amendments:*					
1. The applicant wishes the international preliminary examination to start on the basis of:					
the international application as originally filed					
the description as originally filed					
as amended under Article 34					
the claims as originally filed					
as amended under Article 19 (together with any accompanying statement)					
as amended under Article 34					
the drawings as originally filed					
us amended under Article 34					
2. The applicant wishes any amendment to the claims under Article 19 to be consider	red as reversed.				
3. The applicant wishes the start of the international preliminary examination to be postponed until the expiration of 20 months from the priority date unless the International Preliminary Examining Authority receives a copy of any amendments made					
from the priority date unless the International Preliminary Examining Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). (This check-					
hox may he marked only where the time limit under Article 19 has not yet expired.)					
* Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application					
under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.					
Language for the purposes of international preliminary examination: ENGLISH					
which is the language in which the international application was filed.					
which is the language of a translation furnished for the purposes of international search.					
which is the language of publication of the international application.  which is the language of the translation (to be) furnished for the purposes of international preliminary examination.					
Box No. V ELECTION OF STATES	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
The applicant hereby elects all eligible States (that is, all States which have been designate	ed and which are bound by Chapter II of				
the PCT)					
excluding the following States which the applicant wishes not to elect:					



Sheet No4.			International application No. PCT/EP99/07038	
Box No. VI CHECK LIST	14.11.	<u> </u>	PCI/	EP99/07038
·				
The demand is accompanied by the following ele Box No. IV, for the purposes of international pr	ements, in the la	anguage referred to in nination:	For International Preliminary Examining Authority use only	
	•		received	not received
translation of international application	:	sheets		
2. amendments under Article 34	:	sheets		
copy (or, where required, translation) of amendments under Article 19	:	sheets		
4. copy (or, where required, translation) of				
statement under Article 19	:	sheets		
5. letter	:	sheets		
6. other (specify)	:	sheets		
The demand is also accompanied by the item(s) ma	arked below:			
1. <b>X</b> fee calculation sheet		4. statement er	xplaining lack of signs	ature
2. separate signed power of attorney			nd or amino acid sequ	nence listing in
copy of general power of attorney;			adable form	
reference number, if any:		6. other (speci	б <i>9</i> :	
Box No. VII SIGNATURE OF APPLICANT, A	AGENT OR	COMMON REPRESE	NTATIVE	
Next to each signature, indicate the name of the person signing	g and the cupacity	in which the person signs (if s	uch capacity is not obvious	s from reading the demand).
RAMBELLI, Paolo				
For Internation	nal Preliminary	Examining Authority us	se only	
Date of actual receipt of DEMAND:	•		•	
<ol><li>Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):</li></ol>				
3. The date of receipt of the demand is AF from the priority date and item 4 or 5,			The applicant informed acco	
4. The date of receipt of the demand is N Rule 80.5.	WITHIN the p	eriod of 19 months from	the priority date as	extended by virtue of
5. Although the date of receipt of the den is EXCUSED pursuant to Rule 82.	nand is after th	e expiration of 19 month	s from the priority da	te, the delay in arrival

For International Bureau use only

Demand received from IPEA on:



PCT/EP99/07038

#### From the INTERNATIONAL BUREAU

To: PCT INFORMATION CONCERNING ELECTED RAMBELLI, Paolo OFFICES NOTIFIED OF THEIR ELECTION Jacobacci & Perani S.p.A. Corso Regio Pare (PCT Rule 61.3) I-10152 Torino **ITALIE** Date of mailing (day/month/year) 15 May 2000 (15.05.00) Applicant's or agent's file reference IMPORTANT INFORMATION PC273PR International filing date (day/month/year) Priority date (day/month/year) International application No. 22 September 1999 (22.09.99) 22 September 1998 (22.09.98) PCT/EP99/07038 Applicant NOVAMONT S.P.A. et al

 The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

AP:GH,GM,KE,LS,MW,SD,SL,SZ,TZ,UG,ZW

EP:AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE

National: AU, BG, BR, CA, CN, CZ, DE, IL, JP, KP, KR, MN, NO, NZ, PL, RO, RU, SE, SK, US

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

EA: AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

OA:BF,BJ,CF,CG,CI,CM,GA,GN,GW,ML,MR,NE,SN,TD,TG

National :AE,AL,AM,AT,AZ,BA,BB,BY,CH,CR,CU,DK,DM,EE,ES,FI,GB,GD,GE,GH,GM,

HR,HU,ID,IN,IS,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MD,MG,MK,MW,MX,PT,SD,SG,SI,

SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,ZA,ZW

3. The applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

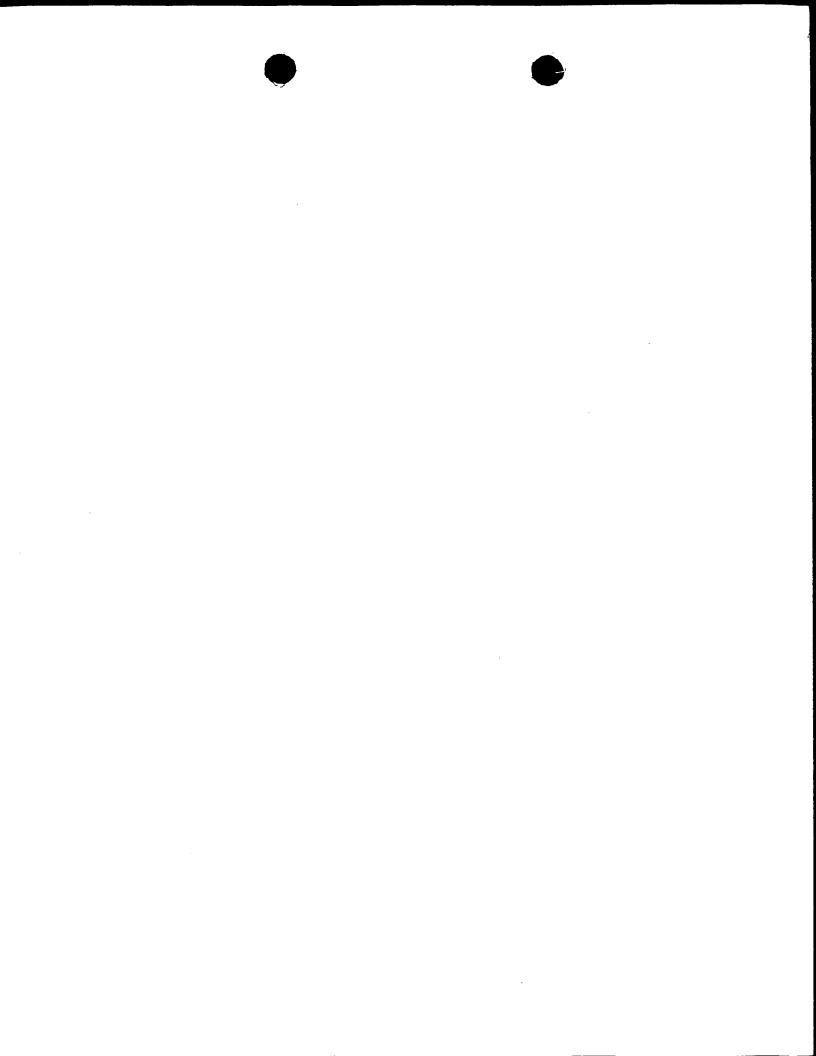
Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer:

R. E. Stoff

Telephone No. (41-22) 338.83.38







#### NOTICE INFORMING THE APPLICANT OF THE **COMMUNICATION OF THE INTERNATIONAL** APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

From the INTERNATIONAL BUREAU	U
-------------------------------	---

To: RAMBELLI, Paolo Jacobacci & Perani S.p.A. Corso Regio Parco, 27

I-10152 Torino

**ITALIE** 

10. APR 2000

RISP.....

Date of mailing (day/month/year) 30 March 2000 (30.03.00)

Applicant's or agent's file reference PC273PR

**IMPORTANT NOTICE** 

International application No. PCT/EP99/07038

International filing date (day/month/year) 22 September 1999 (22.09.99) Priority date (day/month/year)

22 September 1998 (22.09.98)

Applicant

NOVAMONT S.P.A. et al

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice:

AU, CN, JP, KP, KR, US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,CA,CH,CR,CU,CZ,DE,DK,DM,EA,EE,EP,ES,FI,GB,GD,GE, V GH,GM,HR,HU,ID,IL,IN,IS,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MD,MG,MK,MN,MW,MX,NO,NZ,OA, PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,ZA,ZW
The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the

applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 30 March 2000 (30.03.00) under No. WO 00/17270

#### REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

#### REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

J. Zahra

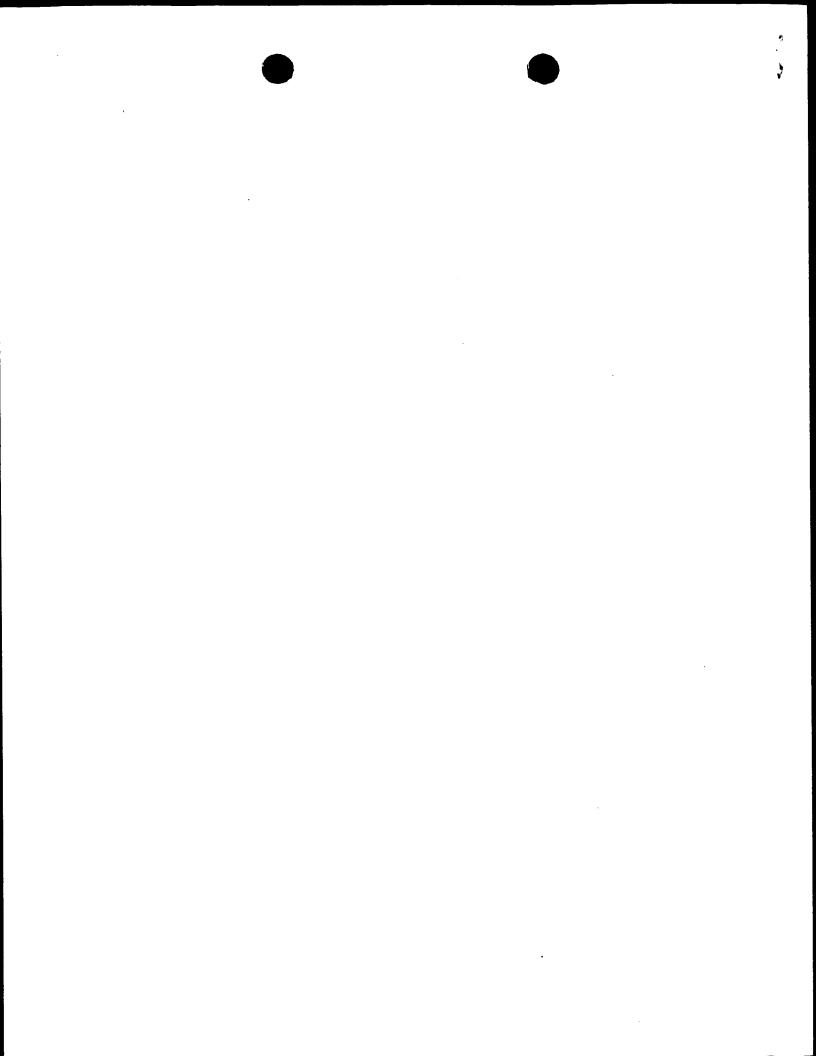
Telephone No. (41-22) 338.83.38

Facsimile No. (41-22) 740.14.35

		<b>↑</b>
,		

# Continuation of Form PCT/IB/CS NOTICE REFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

Date of mailing (day/month/year) 30 March 2000 (30.03.00)	IMPORTANT NOTICE			
Applicant's or agent's file reference PC273PR	International application No. PCT/EP99/07038			
The applicant is hereby notified that, at the time amendments under Article 19 has not yet expired a declaration that the applicant does not wish to make	of establishment of this Notice, the time limit under Rule 46.1 for making nd the International Bureau had received neither such amendments nor a e amendments.			
	•			



## PATENT COOPERATION TREATY

Bee 28

From the INTERNATIONAL PRELIMINARY E	XAMINING AUTHORITY	
To:  RAMBELLI, Paolo JACOBACCI & PERANI S.P.A. Corso Regio Parco, 27 10152 Torino ITALIE	RICEVUTO	PCT  NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT
	2 9. DIC 2000	(PCT Rule 71.1)
	RISP	Date of mailing (day/month/year) 2 1, 12, 00
Applicant's or agent's file reference PC273PR		IMPORTANT NOTIFICATION
International application No. PCT/EP99/07038	International filing date (da 22/09/1999	Priority date (day/month/year) 22/09/1998
Applicant NOVAMONT S.P.A. et al.	,	•

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

Authorized officer

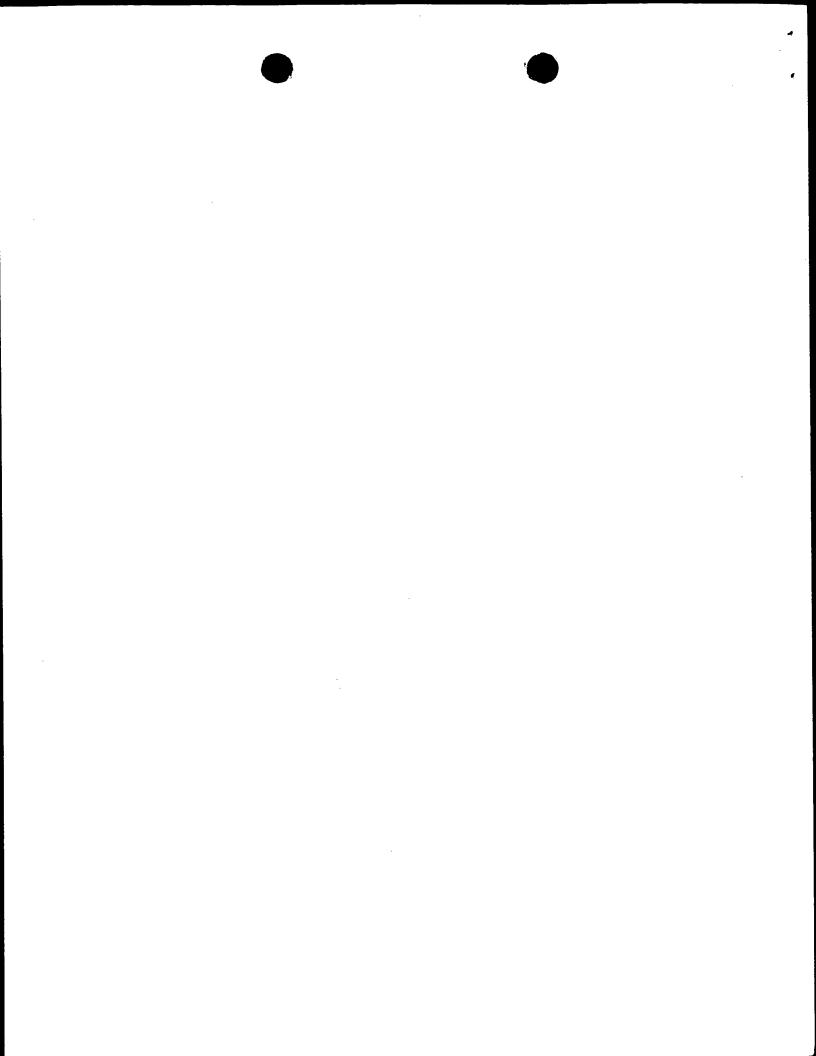
European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Le Bolloch, C

Fax: +49 89 2399 - 4465

Tel.+49 89 2399-8091



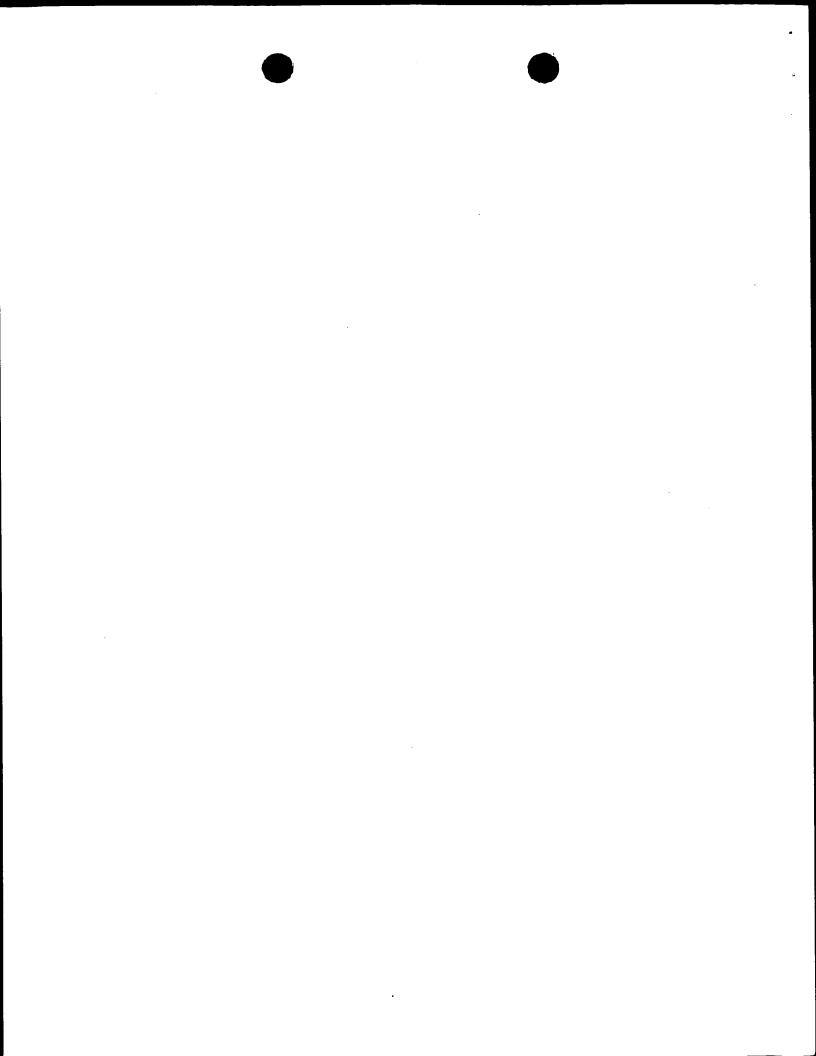




# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's	or ag	ent's file reference	T				
PC273P	_		FOR FURTHER A	ACTION	See Notifica Preliminary	ation of Transmittal of International Examination Report (Form PCT/IPEA/416)	
Internation	al app	lication No.	International filing date	(day/month	/year)	Priority date (day/month/year)	_
PCT/EP	99/07	7038 V	22/09/1999	$\checkmark$		22/09/1998	
C08L29/	04	ent Classification (IPC) or na	itlonal classification and I	PC			
NOVAM	ТИС	S.P.A. et al.	<i>-</i>				
1. This i	ntern s tran	ational preliminary exami smitted to the applicant a	ination report has bee according to Article 36.	n prepared	by this Inte	rnational Preliminary Examining Authorit	y
		PRT consists of a total of	•				
b	een a	port is also accompanied Imended and are the bas ule 70.16 and Section 60	sis for this report and/o	or sheets co	ontaining red	a, claims and/or drawings which have ctifications made before this Authority e PCT).	
These	ann	exes consist of a total of	5 sheets.				
3. This r	eport	contains indications rela	ting to the following ite	ems:			
1	$\boxtimes$	Basis of the report	•				
B		Priority					
111		Non-establishment of or	pinion with regard to n	ovelty, inve	entive step a	and industrial applicability	
IV				•	•	, , , , , , , , , , , , , , , , , , , ,	
V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations suporting such statement							
VI		Certain documents cite	d				
VII	VII						
VIII		Certain observations on	the international appl	ication			
Date of sub	nissio	n of the demand		Date of co	ompletion of ti	nis report	
18/04/200	00					2 1. 12. 00	
		address of the international		Authorize	d officer	ASOVES MICE.	
Preminary (	Euro	ning authority: pean Patent Office 298 Munich		Boletti,	C		W. HOLDEN
		+49 89 2399 - 0 Tx: 523656 +49 89 2399 - 4465	epmu d		e No. +49 89	2399 8527	<i>\$</i>

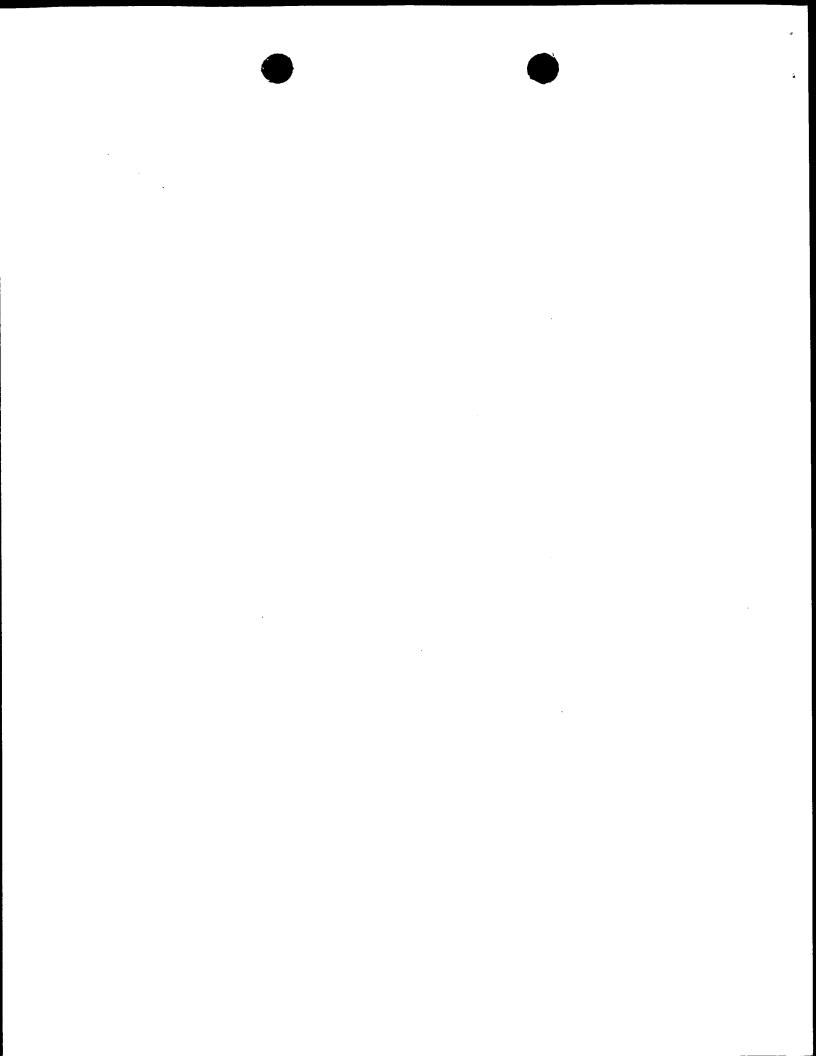




International application No. PCT/EP99/07038

1.	<b>Basis</b>	of the	report
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1.	the	sponse to an invitation	on under Article 14 are	substitute sheets which have referred to in this report as ents (Rules 70.16 and 70.17	"originally filed" and are	eceiving Office not annexed to
	1,2	2,4-18	as originally filed	·	V	
	3		with telefax of	19/10/2000	/	
	Cla	nims, No.:	·			
	1-2	4	with telefax of	19/10/2000	V	
2.	Witi lanç	h regard to the <b>lang</b> guage in which the i	uage, all the elements nternational application	marked above were availat n was filed, unless otherwise	ole or furnished to this Au e indicated under this iter	uthority in the m.
	The	ese elements were a	vailable or furnished to	o this Authority in the following	ng language: , which is	:
		the language of pu	blication of the internat	r the purposes of the international application (under Rul	e 48.3(b)).	
		the language of a to 55.2 and/or 55.3).	ranslation furnished for	r the purposes of internation	al preliminary examinati	on (under Rule
3.	With	n regard to any <b>nucl</b> rnational preliminary	eotide and/or amino	acid sequence disclosed in ried out on the basis of the s	the international applica equence listing:	ation, the
		contained in the int	ernational application i	in written form.		
		filed together with t	he international applica	ation in computer readable f	orm.	
		furnished subseque	ently to this Authority in	n written form.		
		furnished subseque	ently to this Authority in	n computer readable form.		
		The statement that the international ap	the subsequently furni plication as filed has b	ished written sequence listir een furnished.	ig does not go beyond th	ne disclosure in
		The statement that listing has been furn	the information record nished.	ed in computer readable for	m is identical to the writte	en sequence
١.	The	amendments have	resulted in the cancella	ation of:		
		the description,	pages:			
		the claims,	Nos.:			
		the drawings,	sheets:			





International application No. PCT/EP99/07038

5. 🗅	This report has been established as if (some of) the amendments had not been made, since they have beer considered to go beyond the disclosure as filed (Rule 70.2(c)):
	(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims

No:

Claims 1

Inventive step (IS)

Yes:

Claims

No:

Claims 2-24

Industrial applicability (IA)

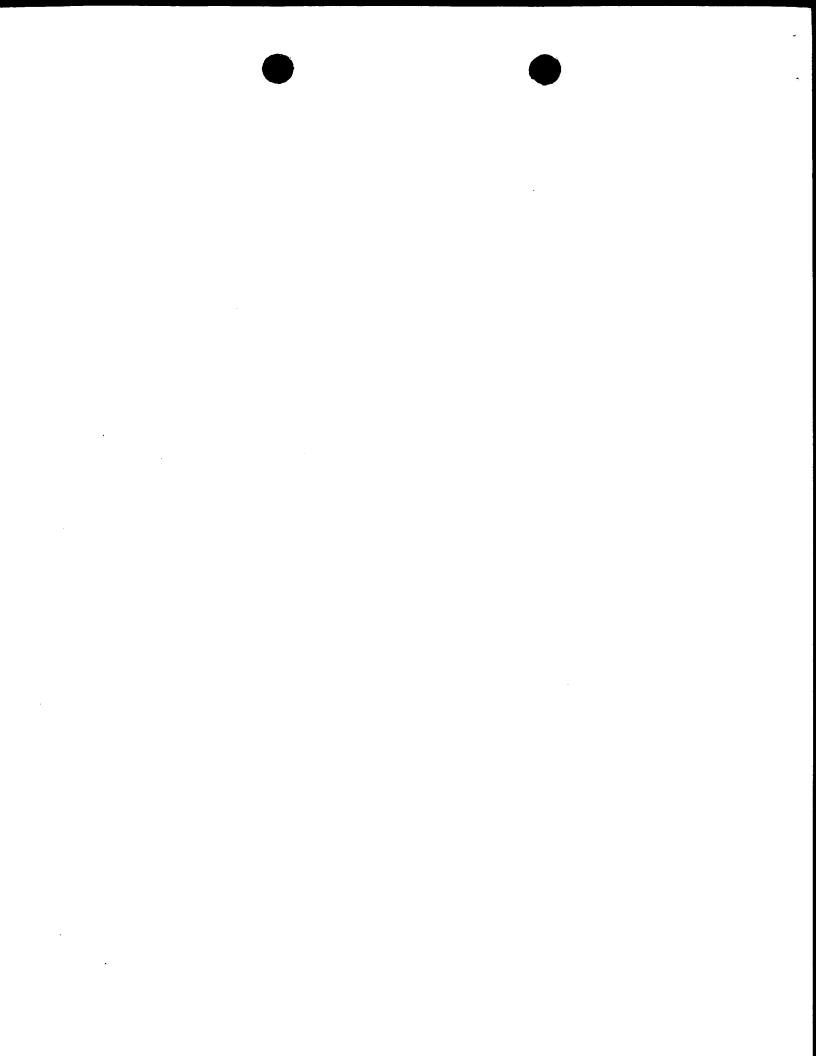
Yes:

Claims 1-24

No:

Claims

2. Citations and explanations see separate sheet



### **EXAMINATION REPORT - SEPARATE SHEET**

#### ITEM V

Reference is made to the following document:

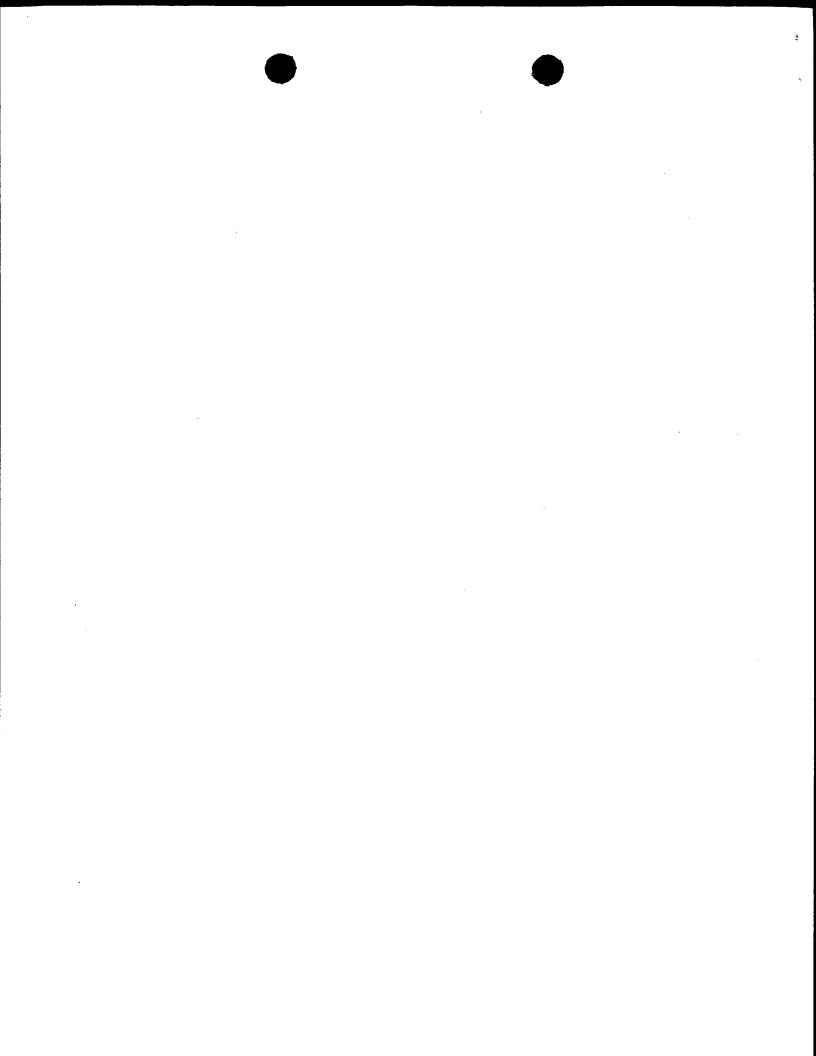
D1: WO 98/20073 (cf. claims 1, 28, 37, 38)

Hydrophobic polymers incompatible with starch containing, as filler, a starch complex dispersed in the hydrophobic polymeric matrix, bound to the polymer matrix by means of coupling agents containing groups compatible with the matrix and with the starch complex, have already been disclosed in D1. The starch complex of D1 is in the form of particles with a numeral average size of less than 1 µm.

Even though D1 does not explicitly mention the solubility in water and the secondderivative IR absorption, it is reasonable to assume that the starch complex quoted therein shows the same IR absorption and water-solubility as those indicated in the present application, since the composition of D1 has been prepared in the same way as the present composition.

Therefore, the subject-matter of the present claim 1 is not novel under article 33(2) PCT.

The subject-matter of claims 2 to 24 is either not novel with respect to D1 or would not appear to be inventive with regard to this teaching (Art. 33 (2) and (3) PCT).



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not identified further, and which can act as a compatibilizing agent between starch and rubber.

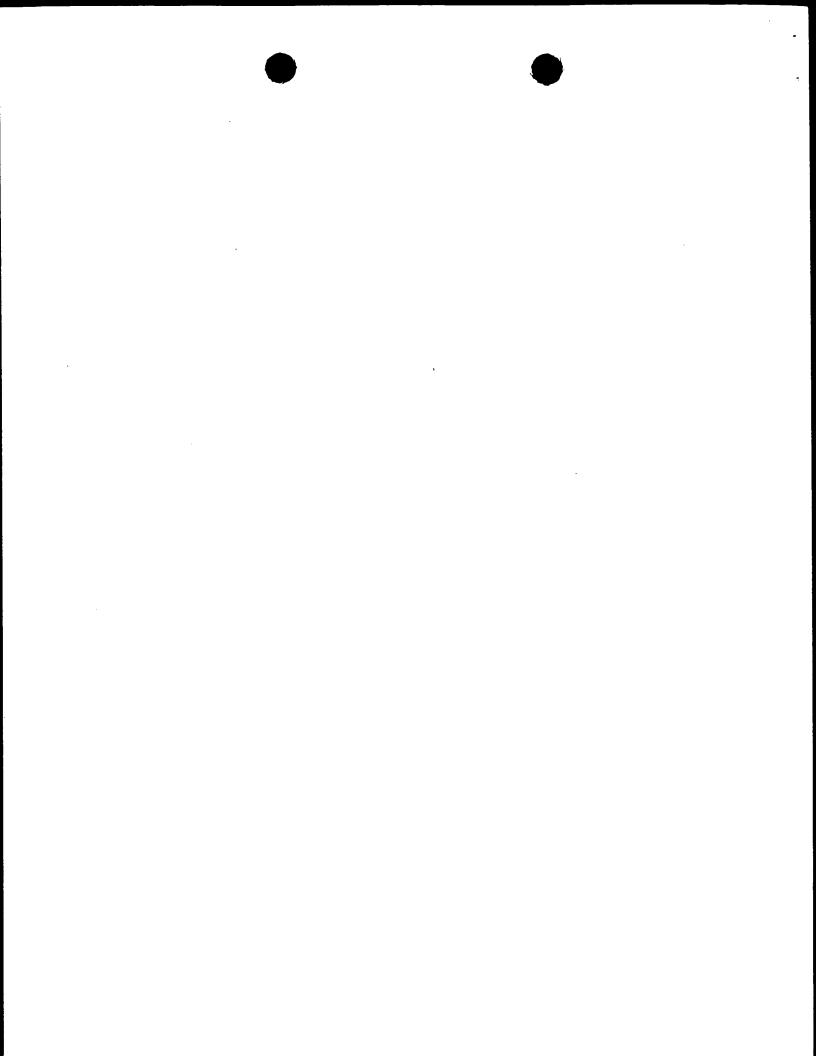
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It has now unexpectedly been found that it is possible to disperse, in hydrophobic polymers incompatible with starch, starch complexes characterized by second-derivative FTIR absorption in the region of 940-950 cm<sup>-1</sup> or by XR diffraction peaks in the regions of 11°-13° and 19°-21° of 20, in the form of particles with poor solubility in water and having numeral average size of less than 3 microns, preferably-loss than 1 micron, and which are fixed to the polymer matrix by means of (a) coupling agent(s) containing groups which can interact with the polymer matrix and with the complex (external coupling agent) or by means of reactive groups present in the complex capable of being fixed to the polymeric matrix thus acting as internal coupling agent. In this case, the use of the external coupling agent can be omitted.

This is, for example, the case of matrices comprising a biodegradable polymer such as the aliphatic or aliphatic-aromatic polyesters, the aliphatic polyamides, polyamides-polyesters polyurethane-polyesters and the like.

As it will be specified hereinafter, the complex usable with the biodegradable matrices of the type above camplexing agent indicated, is a complex of starch with a polymer different from the polymer forming the polymeric matrix and from the ethylene-vinylalcohol copolymers, or with other complexing agents.

The present invention provides hydrophobic polymous filled with starch complexes and a process for their preparation, as defined in the appended claims;





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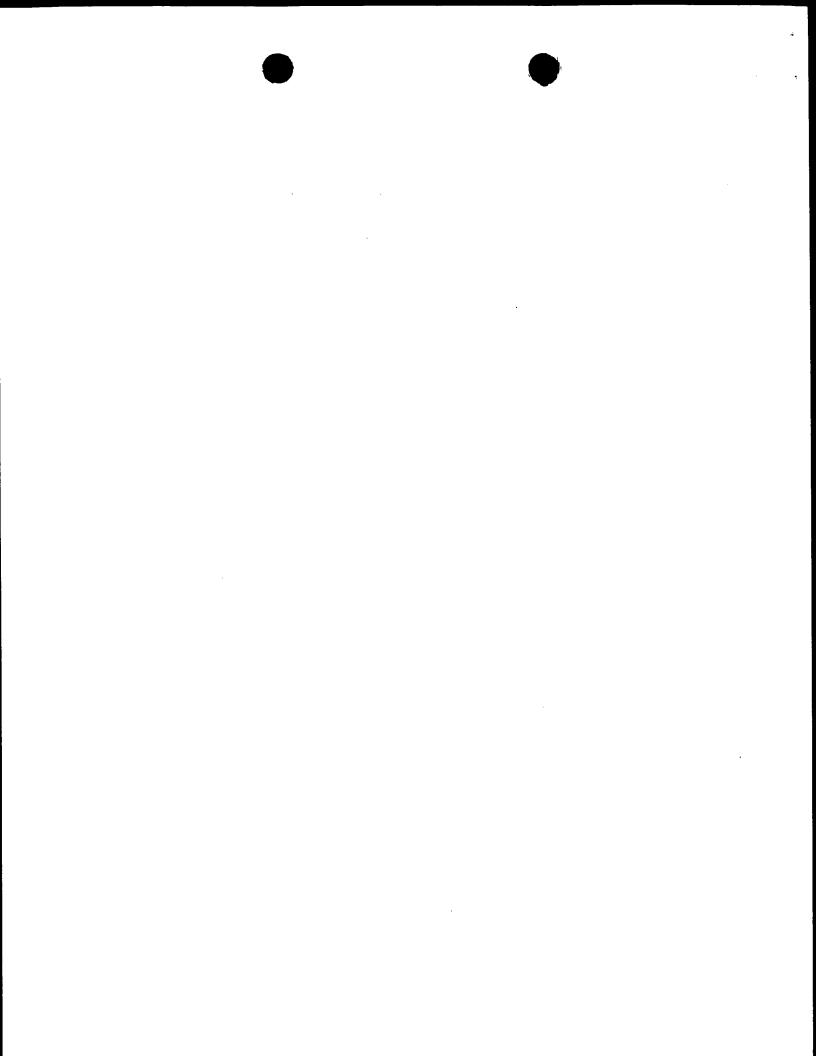


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- Hydrophobic polymers incompatible with starch containing, as a filler, a starch complex in the form of particles dispersed in the hydrophobic polymeric matrix and bound to the polymer matrix by means of coupling agents containing groups compatible with the matrix and with the starch complex or by means of reactive groups present in the starchcomplex capable of being fixed to the polymeric matrix, characterised in that the starch complex is in the form of particles with a numeral average size of less than 1 micron, it has a solubility in water at 100°C of less than 20% and is characterised by a second-derivative IR absorption in the region of 940-950 cm<sup>-1</sup>, and wherein the starch complex, in the case where the hydrophobic biodegradable polymers of the matrix are selected from the group consisting of the aliphatic or aliphatic-aromatic polyesters, the aliphatic polyamides, polyamides-polyesters, polyurethane polyurethane-polyamides, polyurea-polyesters, is a complex of starch with a complexing agent different from the polymer forming the matrix and from ethylene-vinylalcohol copolymers.
- 2. Polymers according to claim 1, wherein the coupling agent is selected from the groups consisting of a vinyl silane, an alkyl titanate, and bis-3-triethoxysilylpropyl tetrasulphide.
- 3. Polymers according to claims 1 or 2, wherein the complexing agent different from the polymer forming the polymeric matrix is selected from the group consisting of polylactic acid, polyglycolic acid, poly(lactic-glycolic) acid copolymers, ethylene-acrylic acid copolymers, ethylene-vinylacetate copolymers.
- 4. Polymers according to any of claims 1 to 3, in which the quantity of filler comprising the complex dispersed in







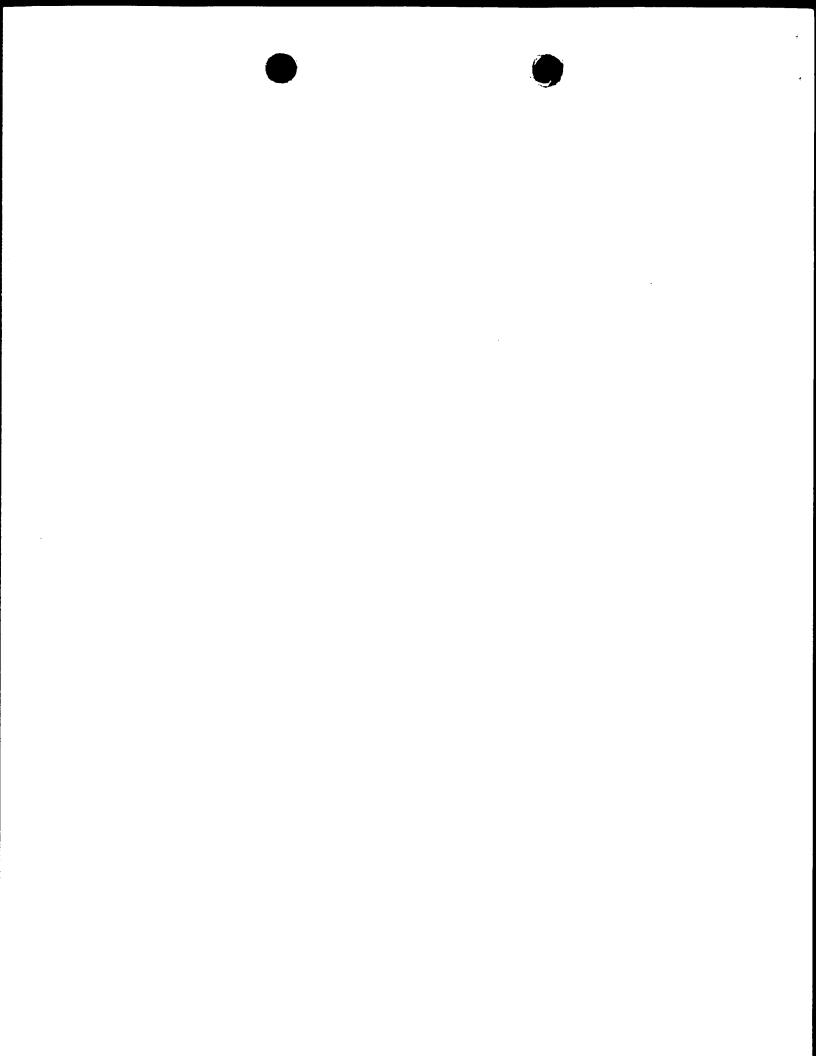




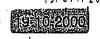
the hydrophobic polymer is from 0.5 to 50% by weight.

- 5. Polymers according to any of claims 1 to 4, in which the starch complexes are produced from compositions of starch with polymers compatible with starch containing lyophilic groups and lyophobic sequences, wherein the starch complex is present and from which a micro-dispersion of particles with numeral average diameters of less than 1 micron is formed by treatment in water at 100°C under stirring.
- 6. Polymers according to claims 1 to 5, produced with the use of compositions having a water content of less than 20%, and higher than 2% by weight, and a Tg below 0°C.
- 7. Polymers according to claim 5, in which the polymer which can form complexes with starch is selected from the group comprising copolymers of ethylene with polar monomers.
- 8. Polymers according to claim 7, in which the copolymer is selected from the group comprising copolymers of ethylene with vinyl alcohol, vinyl acetate and acrylic acid.
- 9. Polymers according to claim 8, in which the ethylene/vinyl alcohol copolymer contains from 50 to 75% of vinyl alcohol in moles.
- 10. Polymers according to claim 5, in which the polymer which can complex with the starch is selected from copolymers of polyester/polyurethane, polyamide/polyesters, aliphatic and aliphatic aromatic polyesters and polyamides.
- 11. Polymers according to claims 1 or 2, wherein the starch complexing agent is a fatty acid or a derivative thereof.
- 12. Polymers according to claims 1 or 2, wherein the starch complexing agent contains reactive groups for the hydrophobic matrix.





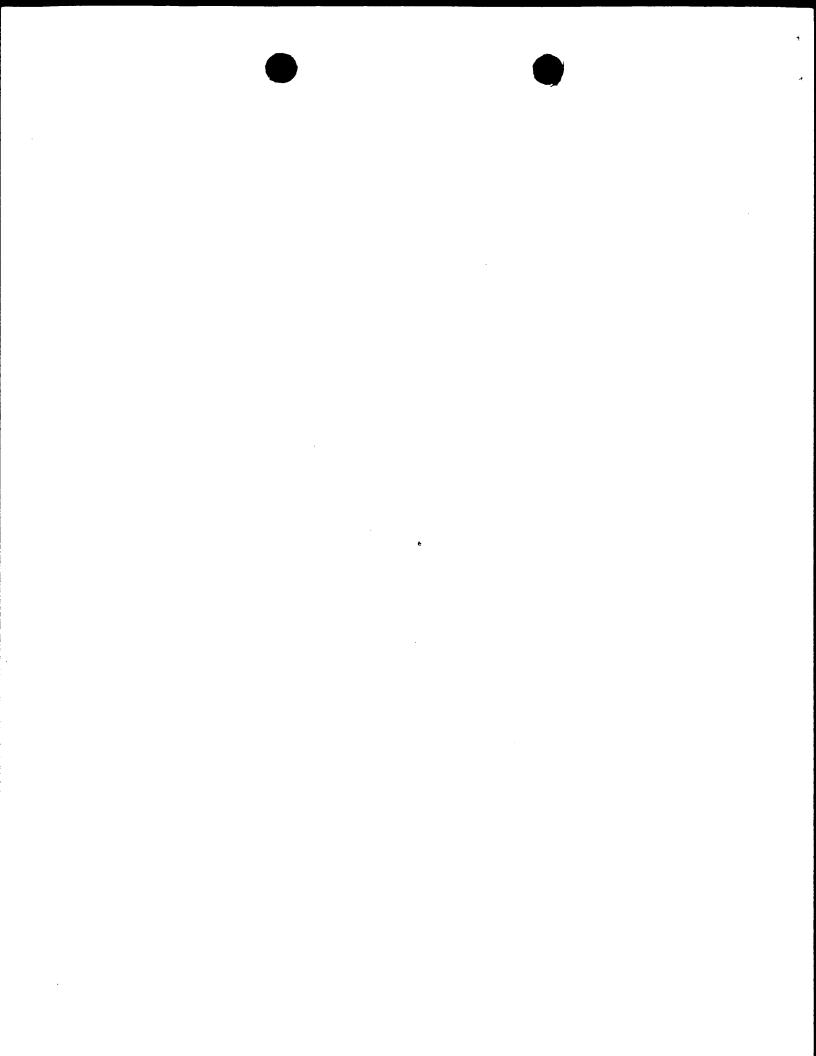
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- 13. Polymers according to any of claim 1 to 12, in which the hydrophobic polymer incompatible with starch is selected from the group consisting of ethylene polymers and copolymers, crystalline propylene polymers and copolymers, aromatic polyester resins, polyamides, polyoxymethylene resins, polyphenylene oxide resins, and polycarbonates.
- 14. Polymers according to any of claims 1 to 12, in which the hydrophobic polymer is a rubber selected from the group consisting of styrene-butadiene rubbers, polybutadiene rubbers, polyisoprene rubbers, ethylene-propylene and ethylene-propylene-diene rubbers, and natural rubber.
- 15. A method for preparing filled polymers according to any of claims 1 to 14, in which a composition comprising the starch/polymer complex, forming part of a continuous interpenetrated structure between the complexing polymer and the complex is mixed, in the melt state or under hot mastication conditions, with the hydrophobic polymer incompatible with starch, in the presence of coupling agents containing groups reactive with the polymer matrix and with the complex.
- 16. A method preparing filled polymers according to any of claims 1 to 14, in which a composition comprising the starch/polymer complex is mixed with a rubber at a processing temperature between 140 and 160°C, in the presence of coupling agents containing groups reactive with the polymer matrix and with the complex.
- 17. A method according to claims 15 and 16, in which the coupling agent is selected from vinyl and tetrasulphide silanes and alkyl titanates.
- 18. A method according to any of claims 15, 16 and 17 in which the coupling agent is used in a quantity of from 0.5 to 10% by weight of the complex.



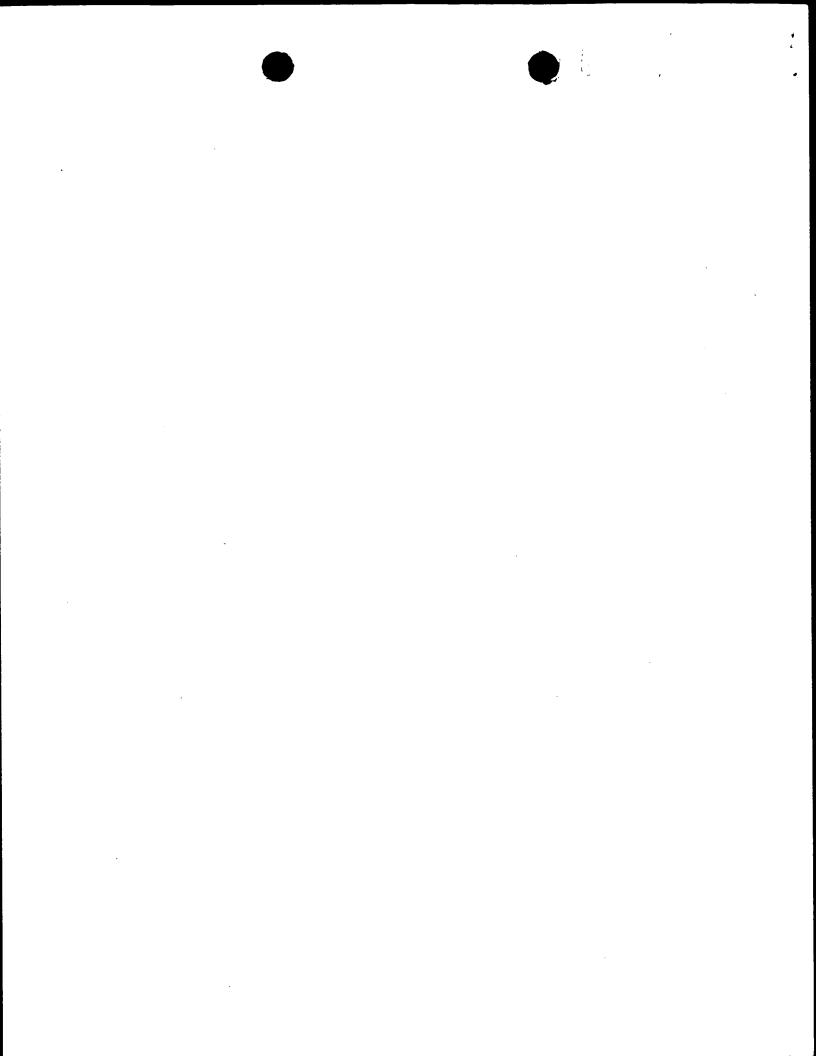








- 19. A method for preparing filled polymers according to claims 1 to 14, wherein the polymeric matrix is a biodegradable polymer selected from the group consisting of the aliphatic-aromatic polyesters, the aliphatic polyamides, the polyamides-polyesters, polyurethane-polyesters, polyurethane-polyamides and polyurea-polyesters comprising melt-mixing the polymer forming the polymeric matrix with a complex of starch having the characteristics as set forth in claim 1 and further characterised by being formed of starch complexed with a complexing agent different from the polymer forming the matrix and from the ethylene-vinylalcohol co-polymers.
- 20. A method according to claim 19, wherein the starch complex is preformed or formed during melt-mixing.
- 21. Shaped articles obtainable from the hydrophobic polymers of claims 1 to 14.
- 22. Shaped articles obtainable from the hydrophobic polymers of claims 1 to 14, wherein the hydrophobic polymer is selected from the group consisting of the aliphatic and aliphatic-aromatic polyesters, polyurethane-polyamides, polyurea-polyesters, and polyurethane-polyesters.
- 23. Films and compostable bags obtainable from the hydrophobic polymers of claims 1 to 14.
- 24. Tyres obtainable from the rubbers of claim 14.



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not identified further, and which can act as a compatibilizing agent between starch and rubber.

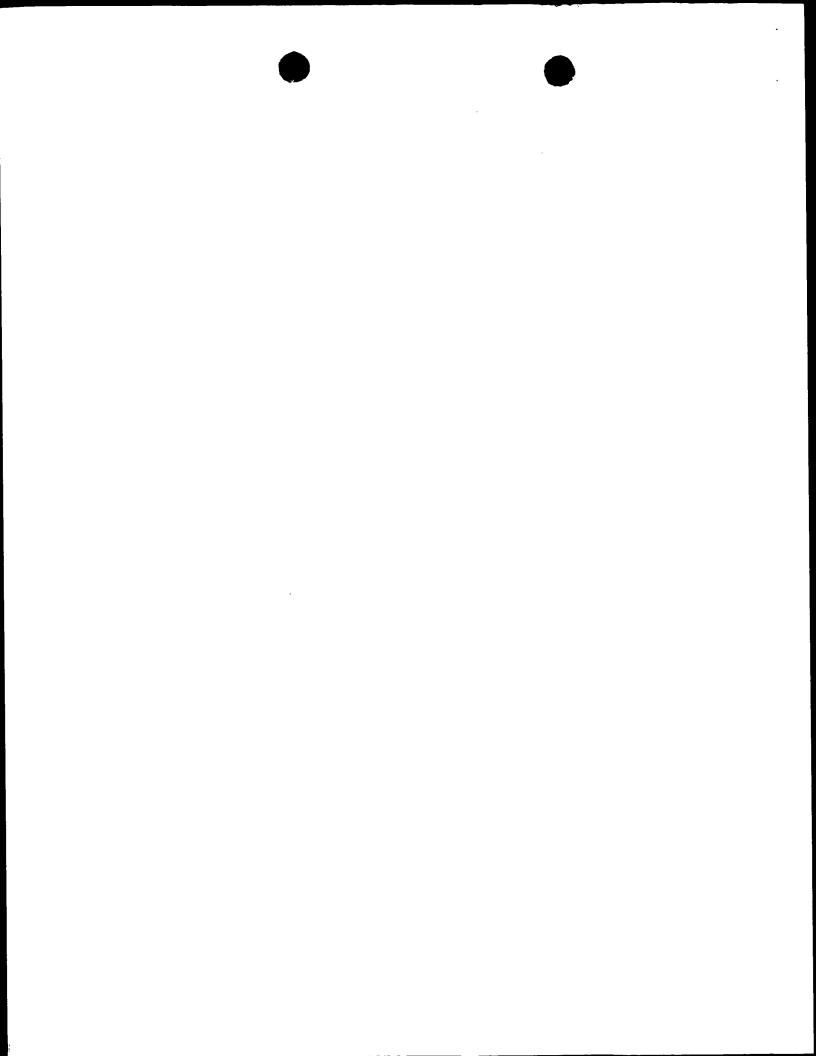
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It has now unexpectedly been found that it is possible to disperse, in hydrophobic polymers incompatible with starch, starch complexes characterized by second-derivative FTIR in the region of 940-950 cm<sup>-1</sup> or by XR absorption diffraction peaks in the regions of 11°-13° and 19°-21° of 20, in the form of particles with poor solubility in water and having numeral average size of less than I microns, preferably loss than 1 micron, and which are fixed to the polymer matrix by means of (a) coupling agent(s) containing groups which can interact with the polymer matrix and with the complex (external coupling agent) or by means of reactive groups present in the complex capable of being fixed to the polymeric matrix thus acting as internal coupling agent. In this case, the use of the external coupling agent can be omitted.

This is, for example, the case of matrices comprising a bicdegradable polymer such as the aliphatic or aliphatic-aromatic polyesters, the aliphatic polyamides, polyamides-polyesters polyurethane-polyesters and the like.

As it will be specified hereinafter, the complex usable with the biodegradable matrices of the type above camplexing agent indicated, is a complex of starch with a polymer different from the polymer forming the polymeric matrix and from the ethylene-vinylalcohol copolymers, or with other complexing agents.

The present invention provides hyphophobic polymors filled with starch complexes and a process for their preparation, as defined in the appended claims.

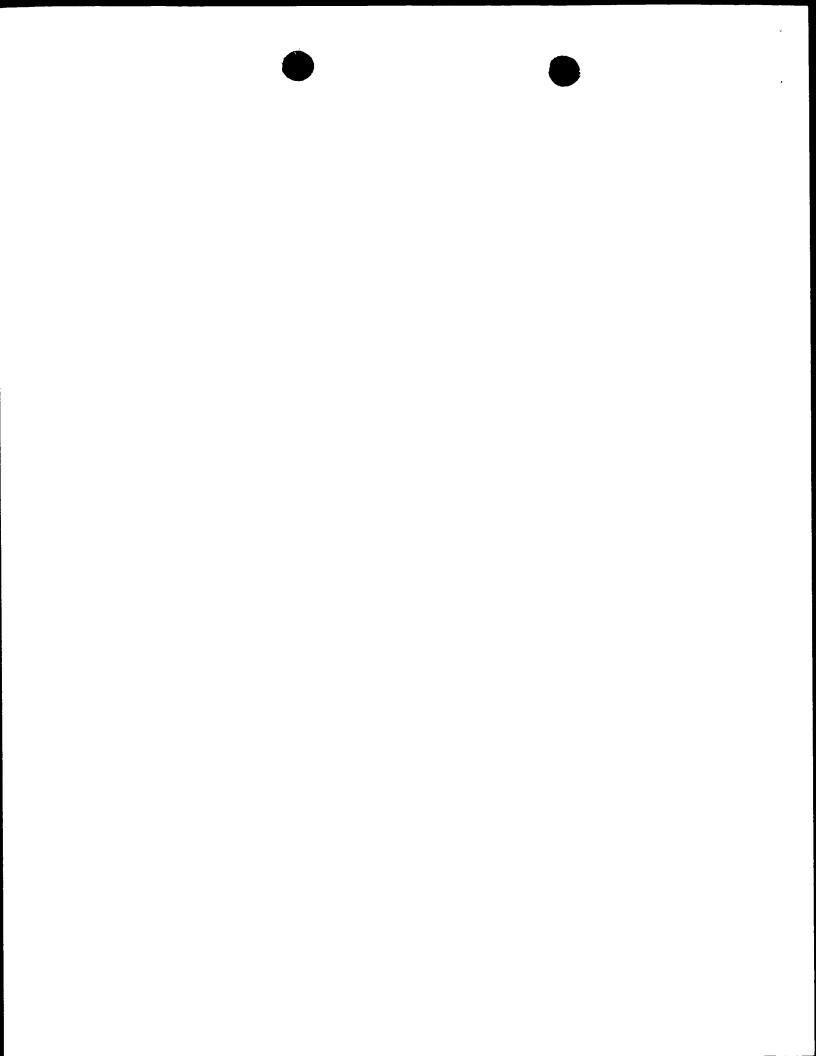


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## CLAIMS

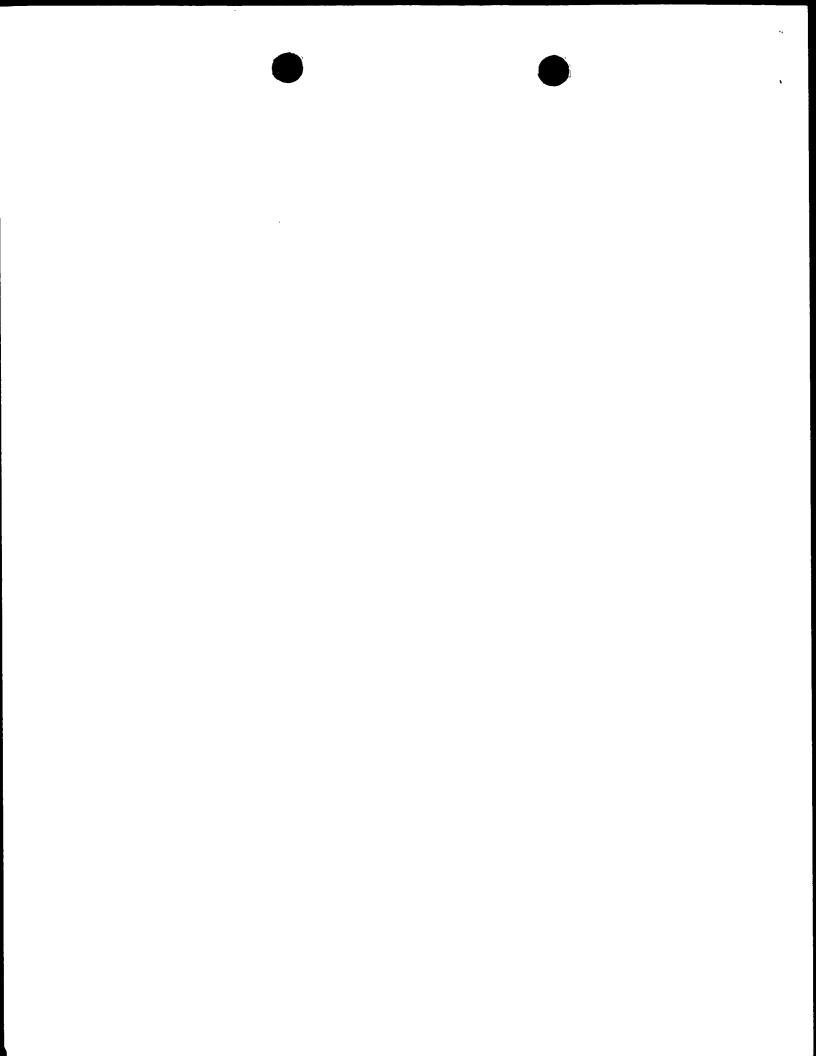
- Hydrophobic polymers incompatible with starch containing, as a filler, a starch complex in the form of particles dispersed in the hydrophobic polymeric matrix and bound to the polymer matrix by means of coupling agents containing groups compatible with the matrix and with the starch complex or by means of reactive groups present in the starchcomplex capable of being fixed to the polymeric matrix, characterised in that the starch complex is in the form of particles with a numeral average size of less than 1 micron. it has a solubility in water at 100°C of less than 20% and is characterised by a second-derivative IR absorption in the region of 940-950 cm<sup>-1</sup>, and wherein the starch complex, in the case where the hydrophobic biodegradable polymers of the matrix are selected from the group consisting of the aliphatic or aliphatic-aromatic polyesters, the aliphatic polypolyamides-polyesters, polyurethane polyesters, polyurethane-polyamides, polyurea-polyesters, is a complex of starch with a complexing agent different from the polymer forming the matrix and from ethylene-vinylalcohol copolymers.
- 2. Polymers according to claim 1, wherein the coupling agent is selected from the groups consisting of a vinyl silane, an alkyl titanate, and bis-3-triethoxysilylpropyl tetrasulphide.
- 3. Polymers according to claims 1 or 2, wherein the complexing agent different from the polymer forming the polymeric matrix is selected from the group consisting of polylactic acid, polyglycolic acid, poly(lactic-glycolic) acid copolymers, ethylene-acrylic acid copolymers, ethylene-vinylacetate copolymers.
- 4. Polymers according to any of claims 1 to 3, in which the quantity of filler comprising the complex dispersed in

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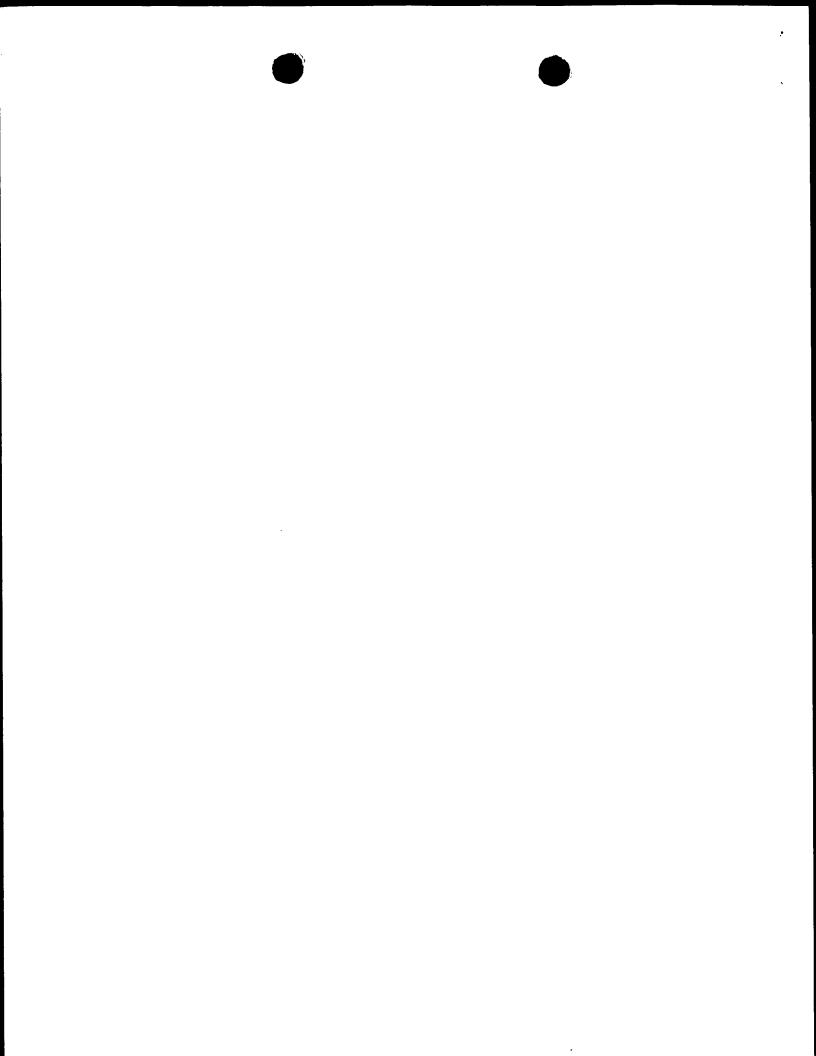


the hydrophobic polymer is from 0.5 to 50% by weight.

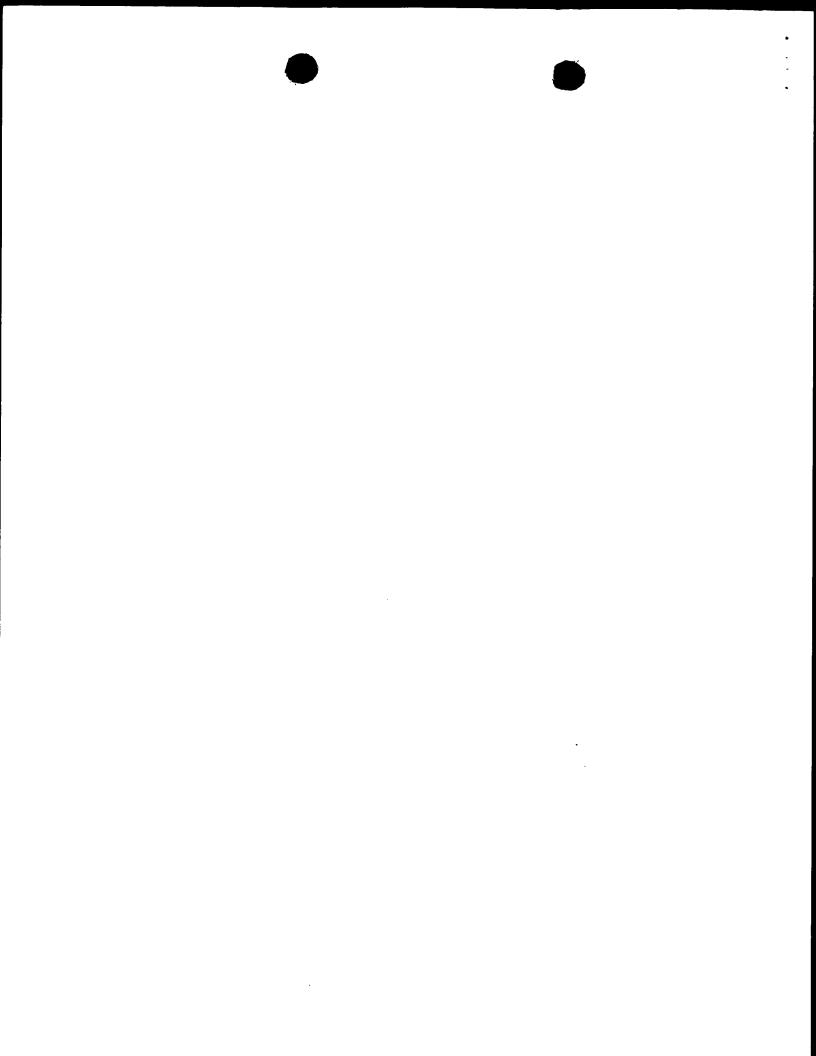
- 5. Polymers according to any of claims 1 to 4, in which the starch complexes are produced from compositions of starch with polymers compatible with starch containing lyophilic groups and lyophobic sequences, wherein the starch complex is present and from which a micro-dispersion of particles with numeral average diameters of less than 1 micron is formed by treatment in water at 100°C under stirring.
- 6. Polymers according to claims 1 to 5, produced with the use of compositions having a water content of less than 20%, and higher than 2% by weight, and a Tg below 0°C.
- 7. Polymers according to claim 5, in which the polymer which can form complexes with starch is selected from the group comprising copolymers of ethylene with polar monomers.
- 8. Polymers according to claim 7, in which the copolymer is selected from the group comprising copolymers of ethylene with vinyl alcohol, vinyl acetate and acrylic acid.
- 9. Polymers according to claim 8, in which the ethylene/vinyl alcohol copolymer contains from 50 to 75% of vinyl alcohol in moles.
- 10. Polymers according to claim 5, in which the polymer which can complex with the starch is selected from copolymers of polyester/polyurethane, polyamide/polyesters, aliphatic and aliphatic aromatic polyesters and polyamides.
- 11. Polymers according to claims 1 or 2, wherein the starch complexing agent is a fatty acid or a derivative thereof.
- 12. Polymers according to claims 1 or 2, wherein the starch complexing agent contains reactive groups for the hydrophobic matrix.



- 13. Polymers according to any of claim 1 to 12, in which the hydrophobic polymer incompatible with starch is selected from the group consisting of ethylene polymers and copolymers, crystalline propylene polymers and copolymers, aromatic polyester resins, polyamides, polyoxymethylene resins, polyphenylene oxide resins, and polycarbonates.
- 14. Polymers according to any of claims 1 to 12, in which the hydrophobic polymer is a rubber selected from the group consisting of styrene-butadiene rubbers, polybutadiene rubbers, polyisoprene rubbers, ethylene-propylene and ethylene-propylene-diene rubbers, and natural rubber.
- 15. A method for preparing filled polymers according to any of claims 1 to 14, in which a composition comprising the starch/polymer complex, forming part of a continuous interpenetrated structure between the complexing polymer and the complex is mixed, in the melt state or under hot mastication conditions, with the hydrophobic polymer incompatible with starch, in the presence of coupling agents containing groups reactive with the polymer matrix and with the complex.
- 16. A method preparing filled polymers according to any of claims 1 to 14, in which a composition comprising the starch/polymer complex is mixed with a rubber at a processing temperature between 140 and 160°C, in the presence of coupling agents containing groups reactive with the polymer matrix and with the complex.
- 17. A method according to claims 15 and 16, in which the coupling agent is selected from vinyl and tetrasulphide silanes and alkyl titanates.
- 18. A method according to any of claims 15, 16 and 17 in which the coupling agent is used in a quantity of from 0.5 to 10% by weight of the complex.



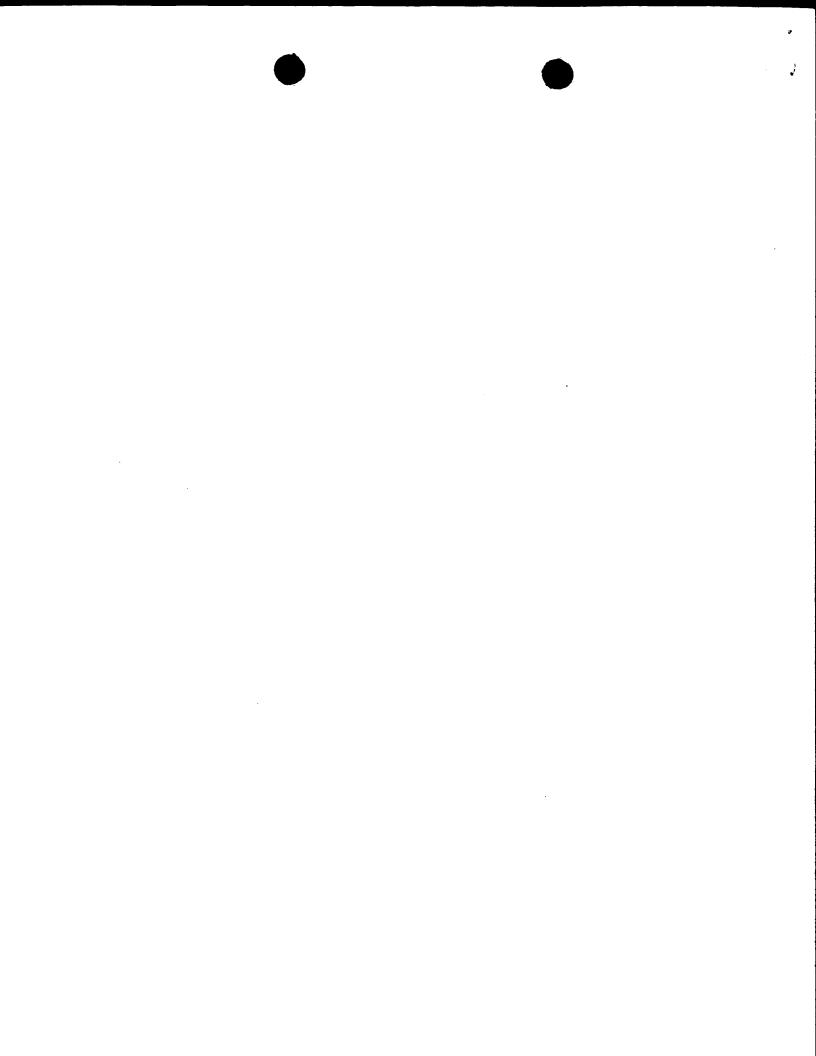
- 22
- 19. A method for preparing filled polymers according to claims 1 to 14, wherein the polymeric matrix is a biodegradable polymer selected from the group consisting of the aliphatic-aromatic polyesters, the aliphatic polyamides, the polyamides-polyesters, polyurethane-polyesters, polyurethane-polyamides and polyurea-polyesters comprising melt-mixing the polymer forming the polymeric matrix with a complex of starch having the characteristics as set forth in claim 1 and further characterised by being formed of starch complexed with a complexing agent different from the polymer forming the matrix and from the ethylene-vinylalcohol copolymers.
- 20. A method according to claim 19, wherein the starch complex is preformed or formed during melt-mixing.
- 21. Shaped articles obtainable from the hydrophobic polymers of claims 1 to 14.
- 22. Shaped articles obtainable from the hydrophobic polymers of claims 1 to 14, wherein the hydrophobic polymer is selected from the group consisting of the aliphatic and aliphatic-aromatic polyesters, polyurethane-polyamides, polyurea-polyesters, and polyurethane-polyesters.
- 23. Films and compostable bags obtainable from the hydrophobic polymers of claims 1 to 14.
- 24. Tyres obtainable from the rubbers of claim 14.





(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification (Form PCT/ISA/2	of Transmittal of International Search Report 220) as well as, where applicable, item 5 below.
nternational application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
CT/EP 99/07038 /	22/09/1999	22/09/1998
OVAMONT S.P.A. et al.	✓	
This International Search Report has be according to Article 18. A copy is being to	en prepared by this International Searching Aut transmitted to the International Bureau.	hority and is transmitted to the applicant
This International Search Report consist  It is also accompanied b	is of a total of sheets.  by a copy of each prior art document cited in this	s report.
1. Basis of the report		
<ul> <li>With regard to the language, the language in which it was filed, u</li> </ul>	e international search was carried out on the ba nless otherwise indicated under this item.	sis of the international application in the
the international search Authority (Rule 23.1(b)).	was carried out on the basis of a translation of	the international application furnished to this
b. With regard to any <b>nucleotide</b> a was carried out on the basis of t	ind/or amino acid sequence disclosed in the in	nternational application, the international search
h	ternational application in computer readable for	m
<b>=</b>	to this Authority in written form.	
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the statement that the s	ubsequently furnished written sequence listing of as filed has been furnished.	does not go beyond the disclosure in the
		s identical to the written sequence listing has bee
Certain claims were fo	und unsearchable (See Box I).	
3. Unity of invention is la	cking (see Box II).	
. With regard to the title,	•	/
X the text is approved as	submitted by the applicant.	$\nu$ .
the text has been establ	ished by this Authority to read as follows:	
5. With regard to the abstract,		J
<b>- 107</b>	submitted by the applicant.	ř
the text has been estable	ished, according to Rule 38.2(b), by this Author ne date of mailing of this international search re	ity as it appears in Box III. The applicant may, port, submit comments to this Authority.
i. The figure of the drawings to be pu	blished with the abstract is Figure No.	<del>===</del>
as suggested by the app	plicant.	None of the figures.
because the applicant fa	ailed to suggest a figure.	



International Application No PCT/EP 99/07038

a. classification of subject IPC 7 C08L29/04 C08L71/12

C08L23/02 C08K5/548 C08L67/00

C08L59/00

According to international Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 C08L C08K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

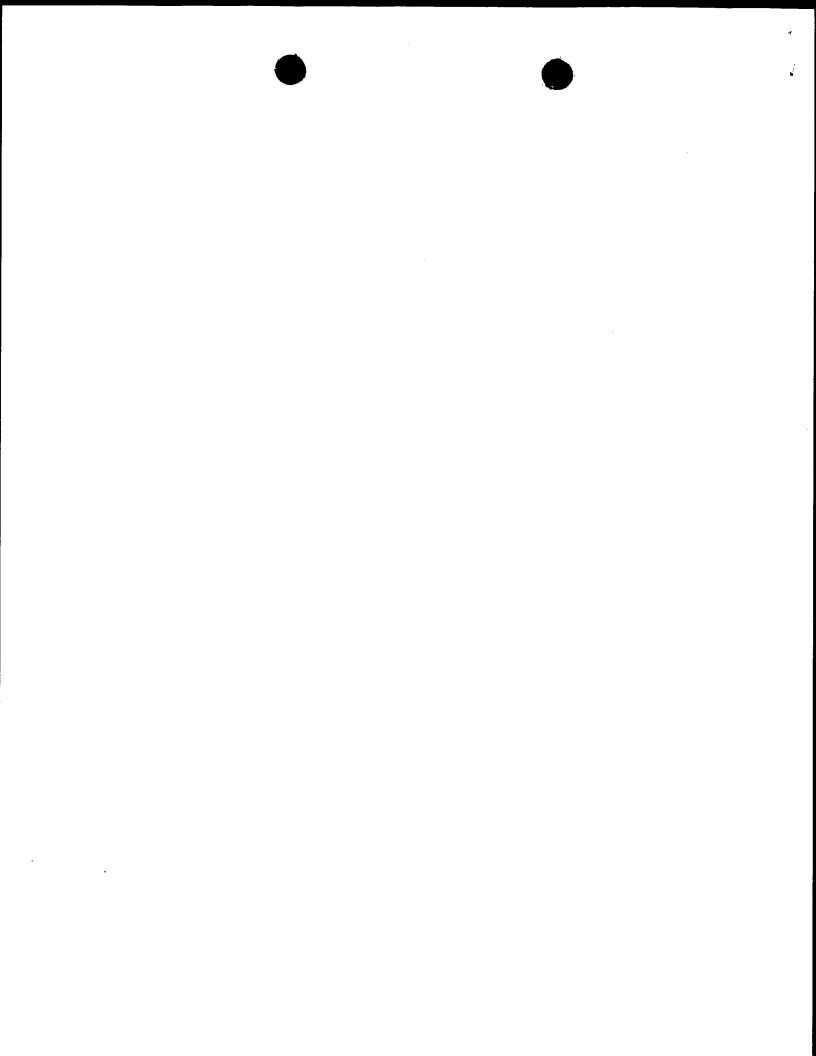
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 92 14782 A (NOVAMONT SPA) 3 September 1992 (1992-09-03) cited in the application page 19, paragraph 6 -page 20, paragraph 2; claims 1,13	1,6,8,9,
E	EP 0 965 615 A (NOVAMONT SPA) 22 December 1999 (1999-12-22) claims 1,5,11,12; examples	1,12,18
X	WO 98 20073 A (BELLOTTI VITTORIO ;CELLA GIAN DOMENICO (IT); DEL GIUDICE LUCIANO () 14 May 1998 (1998-05-14) claims 1,11,28,38,40,48	1,2,4, 12,18,22
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25 January 2000	04/02/2000
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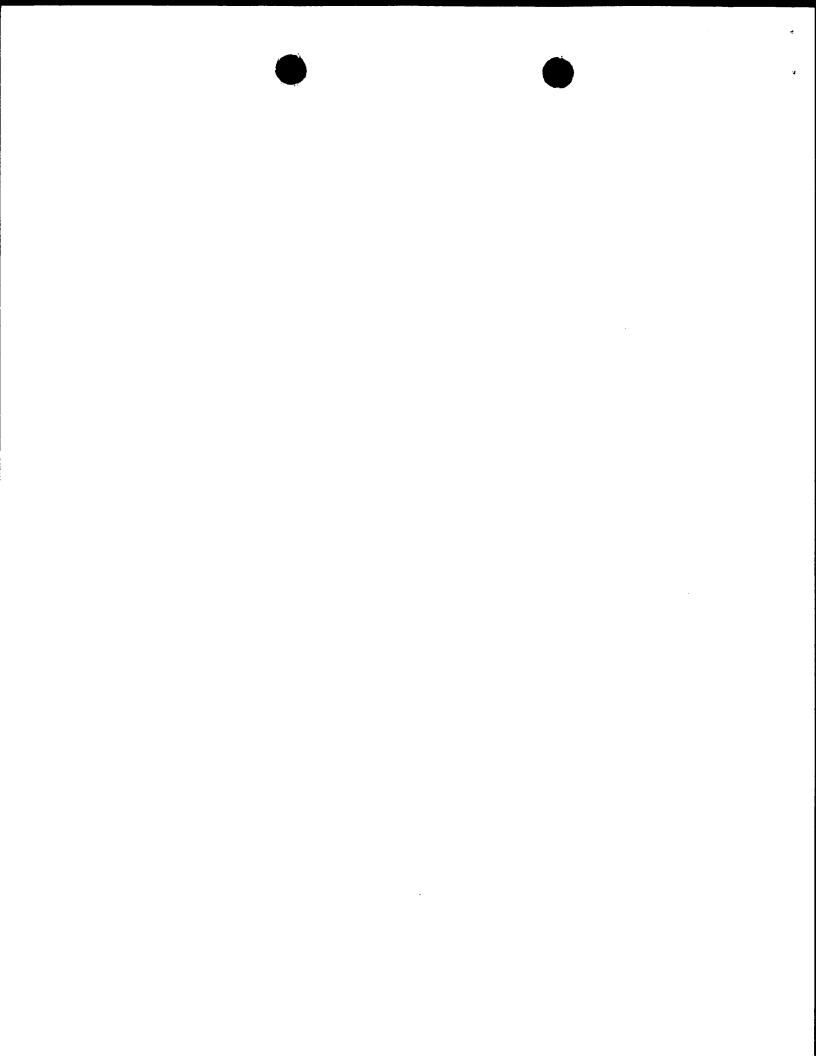
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